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ESSA and Uniform Grant Guidance

April 4, 2017

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What is the Uniform Grant Guidance

- The Uniform Grant Guidance (UGG) went into effect December 26, 2013
- Referred to as “guidance” but is in fact regulations
- Covers **ALL** federal programs, and not just education
- Imposes oversight and technical assistance requirements that are in addition to program statutes.



2 CFR 200.328(a)

“The non-Federal entity is responsible for oversight of the operations of the Federal award supported activities. The non-Federal entity must monitor its activities under Federal awards to assure compliance with applicable Federal requirements and performance expectations are being achieved.”



2 CFR 200.331(d)

(Pass-through entities must) “Monitor the activities of the subrecipient as necessary to ensure that the subaward is used for authorized purposes, in compliance with Federal statutes, regulations, and the terms and conditions of the subaward; and that subaward performance goals are achieved.”



2 CFR 200.331(e)

“ . . . the following monitoring tools may be useful for the pass-through entity to ensure proper accountability and compliance with program requirements and achievement of performance goals:

(1) Providing subrecipients with training and technical assistance on program-related matters; and

(2) Performing on-site reviews of the subrecipient's program operations;”





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