

Special Education Reimbursement Guide State Categorical Aid

Contains

Transportation Reimbursement Catastrophic Aid Special Teacher Reimbursement

FOR SCHOOL YEAR 2011-2012 TO BE AUDITED IN 2012-2013

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Reimbursement Guide: Summary of Changes

Change	Reason	Pages
Massive reformatting and rearrangement	Clarity	All
Moved Personnel Web calendar to Appendix F	Easier to locate and print separately	42
Added license chart for licenses and licensing organizations (Appendix B)	Make it easy to see what licensing agency and if licenses must be submitted to KSDE	37
Added Glossary	Put definitions used by all sections in common place	3
Added supervision rules for OT/PT paraeducators	OT/PT regulations did not clearly state requirements.	29
Removed requirements for special teacher logs, replaced with Federal Time and Effort or Biannual certificate	Not requiring additional paperwork beyond Federal requirements	14
Removed Medicaid Audit information	Not KSDE audits.	
Slight change to way FTE is calculated for part time special education personnel	40 hour work week is not standard procedure in most LEAs	11
Added the ESSE as acceptable test for interpreter Professional Development Plan, also added 2 nd PDP possibility	ESSE test results also contain enough information to support a PDP, it can take up to 4 years for an interpreter to move from 3.0 to 4.0	23

Glossary:

FTE

Full Time Equivalent. One FTE = One full time position. Part time, partial year, and full time employee positions are added together to create the total FTE in a district. Paraeducators are credited as 2/5 a special teacher (40%).

Infant Toddler

Children Ages 0-2

Early Childhood

Children Ages 3-5

LEA

Local Educational Agency. General term encompassing school districts, interlocals and special education cooperatives.

Non Professional

Non-professionals are referred to throughout this guide as paraeducators. The term as used in this guide generally means someone who is not licensed in a particular area as a professional and who is required to be supervised by a professional.

Professional

For each area a special teacher is assigned, there are licensing or certification requirements that must be met to claim the special teacher to be claimed as a professional. Please refer to the licensure chart in Appendix E.

Qualified personnel

Federal (34 CFR 300.23) "personnel who have met SEA-approved or SEA-recognized certification, licensing, registration, or other comparable requirements that apply to the area in which the individuals are providing special education or related services". State (KAR 91-40-1(zz)) "qualified means being certified, licensed, registered or otherwise authorized by the state to render services in a particular profession or occupation".

Special teacher

(KSA 72-962(j)) "a person, employed by or under contract with a school district or a state institution to provide special education or related services, who is: (1) Qualified to provide special education or related services to exceptional children as determined pursuant to standards established by the state board; or (2) Qualified to assist in the provision of special education or related services to exceptional children as determined pursuant to standards established by the state board".

Purpose:

This guide is intended to help users understand and prepare claims for state special education support known as Categorical Aid.

Overview of Categorical Aid:

Categorical Aid consists of three components.

Transportation Reimbursement Catastrophic Aid Special Teacher Reimbursement

These components are also the order of precedence in which state special education funds are distributed, as transportation is reimbursed first, then catastrophic aid is reimbursed out of the remaining categorical aid funds, finally the remaining funds are distributed based on the amount of FTE reported for special teachers.

General Requirement for all Special Education Reimbursement:

All expenditures claimed for reimbursement under categorical aid must have been paid from the LEA's special education funds

All categorical aid money requested by the LEA must be deposited in its special education fund

Purpose of state categorical aid funding

The purpose of state special education funding is to supplement Federal allocations under the Individuals with Disabilities Education Act (IDEA), which currently funds approximately 19% of the total cost of providing special education and related services to eligible students. The Kansas Legislature has attempted to add to this limited Federal funding by allocating state funds in terms of a percentage of "excess costs," defined as the amount over and above the average cost of educating a student who is not receiving special education services. In most recent years, reimbursement to LEAs has been approximately 92% of excess costs. In addition to Federal aid and State Categorical Aid, LEA's transfer the additional amount needed to cover the cost of special education from the LEA general fund.

The 92% figure includes reimbursement of medical-related services provided to Medicaid-eligible students received in the previous year. No margin of error exists for students whose parents do not grant consent for the school to release personally identifiable information in order to bill Medicaid, for billing errors, or for claims denied that were valid.

This Guide has been developed through collaboration between the Fiscal Auditing Team, School Finance Team, and the Special Education Services Team of the Kansas State Department of Education. Federal and state laws and regulations form the foundation for the guidance, procedures, and processes described in this document.

Verification

KSDE audits district, cooperative, and interlocal special education budgets yearly. This audit includes verification of accuracy in reimbursements for transportation, catastrophic aid, special teacher reimbursement, and special teacher reimbursement accessed through contracts. Throughout this guide, reference is made to documents needed for review during these audits.

Questions on specific topics:

Transportation Reimbursement – Sara Barnes 785-296-4972 Catastrophic Aid – Mason Vosburgh 785-296-4945 Special Teacher Reimbursement – Evelyn Alden 785-296-3868 Non-Public Equivalency contracts – Mason Vosburgh 785-296-4945

Transportation

General Requirements:

Special education transportation, whether involving a special teacher (defined in glossary) or pupil travel, is only reimbursable if it is specified as a related service in the student's IEP. All Transportation is reimbursed at 80% of the actual travel expenses incurred. All transportation reimbursement is claimed on Form 308, which is only available as an online form through Authenticated Applications. See Appendix A for instructions on completing Form 308. LEAs are required to submit Form 308 via Authenticated Applications prior to fiscal year's end.

Auditing note: LEAs should not include special education students riding a special education bus as students riding a regular bus in the Kansas Individual Data on Students (KIDS) in fields D51 thru D56.

Specific Requirements:

A. **SPECIAL TEACHER MILEAGE**, KSA 72-978(a)(1)(A). Reimbursement for the actual travel of special teachers in connection with duties in providing special education or related services for exceptional children (limited to rate specified in K.S.A. 75-3203).

Allowable costs include:

- Mileage expenditures directly related to providing special education and related services to exceptional children as outlined in the student's IEP
- Out-of-district mileage if specified in the student's IEP
- Cost of travel by Special Education Administrators if associated with the administration of special education such as attending IEP meetings, meeting with special education staff, ensuring quality of special education services, etc.
- Expenditures incurred for a paraeducator to accompany an exceptional student on the special education bus outside the school day. These expenditures should only be claimed on Form 308 and not on the special teacher Personnel Report
- B. **PUPIL TRANSPORTATION**, KSA 72-978(a)(1)(B). Actual travel expenses incurred in providing transportation for exceptional children to special education or related services. (Auditing note: Reimbursement will not be paid if a student has been counted in determining the regular route transportation weighting of the district under the provisions of the School District Finance and Quality Performance Act. This is intended to prohibit reimbursing the same mileage twice.)

Allowable costs include:

- Transportation costs in transporting exceptional students to provide special education as
 outlined in the student's IEP. If the same vehicle is used to transport regular and special
 education students (as outlined in their IEP's), the district determines the amount to claim by
 pro-rating the entire cost of operating the bus based on the number of special education
 students transported. See example 2 below.
- Modifying authorized school district vehicles to accommodate exceptional students such as ramps and lifts
- Insurance payments covering vehicles used to transport special education students. If the
 vehicle is not solely used for special education, the insurance cost must be pro-rated based on
 the number of special education miles divided by total miles driven for the vehicle. Insurance
 costs claimed for special education reimbursement should not be entered on the Annual
 Statistic Report Form 18E on the regular route pupil transportation expense form.
- Maintenance costs such as gasoline, oil, routine maintenance, tires, and repairs of special
 education vehicles. If the vehicle is not solely used for special education, the maintenance cost
 must be pro-rated based on the number of special education miles divided by total miles driven
 for the vehicle. Maintenance costs claimed for special education reimbursement should not be
 entered on the Annual Statistic Report Form 18E on the regular route pupil transportation
 expense form.

- Transportation costs incurred directly by districts that have contracted with a parent or other private party for the transportation of an exceptional child and not passed on to the district from a contracting agency. The provision of these services must be the responsibility of the district.
- C. **MAINTENANCE IN LIEU OF TRANSPORTATION**, KSA 72-978(a)(1)(C). This requirement refers to the costs incurred in providing room and board or non-medical care (or both) while maintaining an exceptional student at some place other than the child's residence for the purpose of providing special education.

Special aspects of the requirement:

- Reimbursement shall not exceed \$600 per exceptional child per year (allowable expenditures may not exceed \$750, because 80% of \$750 is \$600)
- These expenses are at no cost to the parents of the child if the district in which the child resides provides special education services to the child at a place outside the district (K.A.R. 91-40-21(j))

Costs Not Claimable under Transportation Reimbursement:

- Student tuition
- Expenditures of a regular bus at the time it is serving a regular route
- Special teacher travel, including administrative travel, to:
- Attend meetings not associated with the provision of services to students
- In-service workshops
- Special institutes
- Other professional meetings
- Special teacher salaries
- Cost of special teacher lodging, meals, and conference registration fees
- Cost of travel associated with the delivery of films, supplies, equipment, and performance of maintenance
- Cost of travel in recruiting special education teachers
- Cost of travel outside the LEA's boundaries unless required by a student's IEP

Examples of reimbursable and non-reimbursable special transportation events:

- 1. A special education student rides a regular bus, which is outlined in the student's IEP, to a pick-up point, then is shuttled to special services on a special education bus. Can the district claim reimbursement for the regular bus trip? For the regular bus trip, the district can either choose to pro-rate the total cost of the bus and claim transportation reimbursement for that amount or count the student for regular route transportation weighting. The shuttle to special services would be included on the special transportation expenditure report for the 80% funding.
- 2. A single bus transports five regular education students and five special education students (which is outlined in their IEP's) who ride together throughout the year to school. The total yearly cost of operating the bus is \$10,000. What amount can the district claim for special transportation reimbursement? The district pro-rates the entire cost by the number of special education students, which is calculated by dividing the number of special education students by the total number of students riding the bus. The first part of the calculation determines the percentage of special education students: 5/10 = .5. The second part of the calculation determines the cost attributable to the percentage of special education students: $.5 \times 10,000 = 5,000$. The district can claim \$5,000 for special transportation reimbursement, of which the district will be reimbursed 80%.
- 3. District A transports a student to Company/Agency C which provides special services for the student with District A. District A will be reimbursed 80% of these transportation costs by KSDE because the district is directly responsible for the transportation of the student.

- 4. Teacher X is employed by Company/Agency C. District A has a Non Public Equivalency Contract with Company/Agency C to provide services to a student. Teacher X drives from C's office to the student's home to provide services. Teacher X's mileage is not reimbursable by KSDE because Teacher X is not an employee of or under individual contract with District A.
- 5. Company/Agency C staff drives to a student's home and transports the student to C's place of business, provides special education services under a non-public school equivalency contract, and transports the student back home. No transportation aid is available because District A incurs no separate cost for transportation. Any transportation costs incurred by Company C would be included in the contract amount with the district
- 6. District A has an individual service provider contract with Provider Y to provide PT services to a student. Y incurs mileage in going to and from the student's home while providing services. District A should list Y on the district's special education personnel report for the amount of time services were provided and may incur reimbursement as "teacher travel" on the Special Education Transportation Reimbursement Form 308 because as defined in the glossary, a provider under an individual service provider contract is identified as a Special Teacher.

Audit documentation for transportation expenses:

- Expense reports for individuals
- Vehicle expense invoices
- Vehicle logs
- Student IEPs

Catastrophic Aid

General Requirements

- Students are only eligible for catastrophic aid if the costs of providing services exceed twice
 the per teacher entitlement for categorical aid from the prior school year after deducting all
 other state and/or federal aid for the student (KSA 2000 Supp. 72-983).
- Reimbursement is 75% of the costs exceeding the amount of twice the prior year's teacher entitlement. Example: after deducting all other state and/or federal aid, a student requires \$50,000 of services. Last year's teacher entitlement was \$20,000. The student is eligible for catastrophic aid because services exceed twice the amount of last year's teacher entitlement. The district can claim catastrophic aid reimbursement on the excessive amount (\$10,000) which would be reimbursed at 75% (\$7500).
- Amounts requested under this act can be used only to reimburse costs for the excessive expenditures in providing special education services for students qualifying for catastrophic aid and must be documented in the student's IEP or supporting IEP documentation
- Districts are required to provide financial information to KSDE upon request.
- Based on actual unduplicated (un-reimbursed) costs for the provision of special education and related services as documented in the IEP. Expenditures may be estimated only if solely based on anticipated services as stated in the IEP, Personnel Web System, or MIS Database.
- Separate claims are made for each student
- Expenditures must be prorated for a catastrophic aid eligible student who is absent for more than 10 consecutive school days
- Valid SSN numbers are required for all providers to assure proper licensure and payment of catastrophic aid

Specific Considerations

Data Necessary for Completing Claim

- Allowable expenses and necessary deductions used in the catastrophic aid claim are outlined in the catastrophic aid worksheet on the Special Education Funding site: http://www.ksde.org/Default.aspx?tabid=2583 under the Catastrophic Aid header.
- This worksheet is intended to assist with the calculations and should not be submitted to KSDE, however, the district will need to retain a completed worksheet for auditing purposes.

Prorating Shared Services

Expenditures for services that benefit more than one child must be prorated among all the children receiving services to delineate the cost for the catastrophic aid eligible student.

Such proration may occur for: (See the examples section below for illustration)

- Special teacher services
- Transportation for the catastrophic aid eligible student
- Mileage incurred by a special teacher or paraeducator in delivering services

PARAEDUCATOR TIME AND Catastrophic AID

Paraeducator time may be claimed for catastrophic aid if:

- Assigned and supervised in providing direct support to the catastrophic aid eligible child as documented in the child's IEP
- Providing dedicated instructional support services
- Providing attendant care services
- Providing interpreter services
- Providing support services during extracurricular before and/or after school activities
- Providing bus services
- Providing support services during lunch or other non-instructional time during the regular school day
- Paraeducator time is prorated in the same manner as special teacher time

EXPENSES NOT REIMBURSED THROUGH CATASTROPHIC AID

- Professional or paraeducator activities for which categorical aid covers such as:
 - General education intervention
 - Screenings
 - Preparation
 - Attending IEP meeting
 - Maintaining IEP files
 - Preparation time to deliver specially designed instruction
 - Communication with parents and teachers not included as part of the delivery
- Due process and administrative costs
- Staff development and in-services

Submission Procedures

Enter the catastrophic aid information into KAN_Service and submit to KSDE

Approval Process

All applications will be reviewed by the KSDE administrators for payment approval. Those applications with sufficient expenditures, valid justification and complete MIS data will be approved for payment. Conversely those applications with insufficient expenditures, inadequate justification or incomplete MIS data will be denied. Districts will be notified of a rejected application, the basis of the rejection and may reapply for funds if time allows.

Examples of prorating time and transportation:

- 1. Teacher A provides services to four children all day in the same classroom. Teacher A's salary and benefits total \$60000. On the catastrophic aid claim for student B, include \$15,000 (60,000 ÷ 4) for teacher salary and benefits.
- 2. A special teacher is contracted for .90 special education FTE and .10 general education FTE. The LEA pays the special teacher \$55,555 per year in salary and benefits. The special teacher has five students on her caseload with the following amounts of service (see table below) documented in the student's IEP's. As two students receive instruction at the same time, these services are prorated accordingly. Student 1 is eligible for catastrophic aid. How should the district calculate the amount of instructional time received by that student?

First, before the special teacher's time can be prorated among the students, the teacher's salary must first be prorated by the amount of time dedicated to special education. In this case, 90% (.90 FTE) is dedicated to special education. The special teacher's salary is multiplied by the FTE amount dedicated to special education to produce a proportionate salary for special education - \$50,000 for this example.

Next, a proportionate amount of time is calculated by multiplying the special teacher's contracted days by the special education FTE amount.

186 contracted days X .90 FTE = 167.4 days assigned to special education activities.

167.4 days X 450 minutes per contracted day = 80,352 minutes per year.

Student	Service Time	Total Minutes	Calculation	Percent of Time
Student 1	100 minutes 5 times a week 36 weeks	18,000 minutes	18,000 / 75,330	.238%
Student 2	20 minutes 3 times a week 36 weeks / 2*	1,080 minutes	1,080 / 75,330	.014%
Student 3	15 minutes 5 times a week 18 weeks	1,350 minutes	1,350 / 75,330	.017%
Student 4	20 minutes 3 times a week 36 weeks / 2*	1,080 minutes	1,080 / 75,330	.014%
Student 5	150 minutes 3 times a week 36 weeks	16,200 minutes	16,200 / 75,330	.215%

^{*}Because the special teacher provides services to 2 students at the same time, teacher time is prorated for services provided to these students.

Note: All service times are based on actual services and the remaining forecasted services to be provided in the school year.

Costs for delivering special education services: Multiply salary by % of time calculated in the above table

Student	Calculation	Total Expenditure Per Child
Student 1	\$50,000 X .238	\$11,900
Student 2	\$50,000 X .014	\$700
Student 3	\$50,000 X .017	\$850
Student 4	\$50,000 X .014	\$700
Student 5	\$50,000 X .215	\$10,750

The district will claim \$11,900 on the catastrophic aid application for student 1.

3. District C operates a special education transportation route for five students including Student B, who is eligible for catastrophic aid and has this transportation identified in the IEP. The cost of the route (driver, gas, repairs, etc.) is \$15,000. On the catastrophic aid claim for Student B, include \$3,000 (15,000 ÷ 5) for transportation.

Documentation required for audit

- Documentation matching actual expenses to the specific student claimed
- Student's IEP and supporting paperwork
- Purchase orders
- Deductions worksheet which includes actual, allowable expenditures on the Special Education Funding site: http://www.ksde.org/Default.aspx?tabid=2583 under the Catastrophic Aid header
- Copies of provider licenses if KSDE is unable to verify license

Special Teacher Reimbursement

The primary means of accessing categorical aid for *special teachers* is through the Personnel Web System. The Special Education Administrator should oversee the process of completing the Personnel Report and should review the report to ensure its accuracy before it is submitted. There are 5 payments during the year; LEAs should ensure that their data is current before the deadline for each payment. Personnel Web System - current year's update schedule is found in Appendix F. The deadline for updating Personnel Web System is end of business day.

October 15

25% of total reimbursement based on FTE claimed
25% of total reimbursement

December 15 25% of total reimbursement based on FTE claimed

March 15 17% of total reimbursement based on FTE eligible

April 15 17% of total reimbursement

April 15 based on FTE eligible

June 01 16% or balance based on FTE

eligible

LEA administrators should make sure all teachers and paraeducators that are eligible for payment are included in the May report and that appropriate FTE is being paid. The Personnel Report should include the termination date and the FTE calculation for personnel who have left the LEA. The final special education categorical aid payment will be based on the May report. There will be no supplemental payments issued.

Calculation of Categorical Aid

Reimbursement Deposit Schedule:

Categorical aid distribution is based on the number of full-time equivalent special teachers (professionals) and paraeducators (nonprofessionals) submitted by LEAs. Reimbursement is based on the ratio of the LEA's total *special teacher* FTE to the state total *special teacher* FTE. The resulting percentage is applied to the total categorical aid funds available (after transportation, Medicaid, and catastrophic aid costs have been subtracted) to determine the amount of funds available for distribution for each FTE.

Reimbursed by either days or hours worked. The employment contract will determine if hours or days should be claimed. In LEAs where the Board-approved, official teaching contract year is different than 186 days, 1.0 FTE will be based on the number of days in the Board-approved, official teaching contract year for that LEA. LEAs are not eligible for additional reimbursement if teachers do extra work during their planning time or after hours. Personnel employed less than a full school year will be reimbursed at a rate equal to the proportion of the number of days or hours worked to the days of the local school board approved school year, or 1116 hours legislated as a full school year for non-professionals. Extended school year services will be reimbursed on a pro-rated basis; 186 days or 1116 hours will be used as divisors in computing the FTE per employee position.

Categorical aid is not available for the time staff are providing special education services to students in identified juvenile correctional facilities. KSA 72-978(b)(2) states: "No time spent by a *special teacher* in connection with duties performed under a contract entered into by the youth center at Atchison Juvenile Correctional Facility, the youth center at Beloit Juvenile Correctional Facility, the Larned Juvenile Correctional Facility, or the youth center at Topeka Juvenile Correctional Facility and a school district for the provision of special education services by such state institution shall be counted in making computations under this section."

If the contract(s) indicates that a certain percentage of

the administrator's time is to be allocated to special education, then at least that amount of time must be documented, however if the Time and Effort records reflect percentages over the amount in the contract(s), the amount reimbursed will be consistent with the percentage in the contract. Examples: Contract states that the special teacher will work 80% of the time on special education and 20% in regular education duties

- Time and Effort records show special teacher worked 50 hours/week, 35/special education (70%), 15/regular education (30%). Reimbursement would be 70% based on the percentage of work done for special education.
- Time and Effort records show special teacher worked 90% of time on special education, reimbursement would be 80%
- Time and Effort records show special teacher worked 60% of time on special education, reimbursement would be 60%

The only exception to this calculation method is categorical aid accessed through Non-public School Equivalency Contracts. Refer to the contract instructions.

Termination of Contracts before End of Year

Categorical aid reimbursement for special teachers and paraeducators who terminate their contracts before the end of the school year will be pro-rated based upon the terms of the contract. For example, contracts may be for a certain number of days, or may specify a certain number of hours. If special teachers and paraeducators are paid for a certain number of days, the 1.0 FTE will be the number of days in the local school board approved school year. If special teachers and paraeducators are paid by the hour, the 1.0 FTE will be 1116 hours. LEAs will not be reimbursed for more than 1.0 FTE for multiple people in the same position.

Assignments Reimbursable for Greater than 1.0 FTE (OA)

In a few instances, individuals may be claimed for second assignments in addition to a full time assignment in order for LEAs to provide direct instruction to students with IEPs/IFSPs to meet IDEA requirements other than compensatory services. The second assignment should be coded as OA for "Other Assignment". Second assignments must have separate, supplemental contracts or documented personnel actions including IEP/IFSPs that document the need for services. These services must be

provided outside the regular assignment and providers are typically paid on an hourly basis.

Examples of common Other Assignments

- Homebound/Hospital Personnel
- Home-Based Instruction and Services
- Services to Students While under Suspension/Expulsion
- EC and I/T Assignments with Two Agencies
- Interpreters for Deaf/Hard of Hearing for Extracurricular Activities.

Things to be aware of::

- Only for 2nd assignments over 1 FTE. If only one assignment exists, regardless of the location of the services, the area of assignment should be the area of services.
- Services provided to IT level must be on a separate assignment from other levels.
- Teachers must be qualified for the level and area they are assigned.
- Paraeducators cannot be assigned as the sole provider.

Audit Documentation: FTE Greater than 1.0

For the identified assignments eligible for greater than 1.0 FTE, documentation must include:

- Separate personnel actions/contracts for the extra assignments.
- Timesheets for the identified assignments that must be separate from timesheets for the school day position and must document hours worked outside the regular work week
- Student IEP/IFSP paperwork documenting the activities for which additional reimbursement is sought.
- Interpreters: Verification that non-professional interpreters for students who are deaf/hard of hearing have completed an interpreter assessment.

In the Kansas state special education regulations, "homebound instruction" means the delivery of special education and related services in the home of a child with a disability" (KAR 91-40-1(ee)). The KSDE MIS Data Dictionary further defines homebound instruction as the delivery of special education services in the home of a child whose health problems (physical or mental) are so serious that school attendance is impossible.

Waivers

LEAs may claim categorical aid reimbursement for teachers providing services for duties performed in areas/fields or instructional levels for which they are not endorsed under licensure waivers that have been approved by the Kansas State Board of Education. The reimbursement may only be claimed during the school year, or portion of the year, for which the waiver is in effect. This includes supervisors/coordinators or special education administrators. Waiver application and information may be found here: http://www.ksde.org/Default.aspx?tabid=1656 For questions and information on waivers and waiver applications, please contact Teacher Licensure at 785-291-3678.

Hand Approval

In cases where a provider is correctly licensed/certified for a position, hand approval can override incorrect program rejection. Hand approval is also possible in a few other situations including:

Professionals Not Yet Certified

Understanding that licensing can be delayed for reasons beyond the teacher's control, teachers that are not yet licensed/endorsed by KSDE, but that have successfully completed the required programs, may be hand approved for reimbursement. Hand approval in these cases will not be given until the appropriate license/endorsement is in place, is not automatically granted, nor will it be given for more than the semester in which licensure/endorsement is granted. Hand approval is not available for related service providers that are required to have the appropriate licensure/certification.

Professionals With Lapsed Certification

Teachers that have a gap in licensure, but that have successfully renewed their licenses, may be hand approved for reimbursement for the lapsed time. Hand approval in these cases will not be given until the appropriate license/endorsement is in place, is not automatically granted, nor will it be given if the lapse covers more than a single semester before the licensure/endorsement is renewed. Hand approval is not available for related service providers that are required to have the appropriate licensure/certification.

Travel

As of July 1, 2007 the FTE of special teachers claimed for categorical aid through the Personnel Web System will not be reduced based on time spent in transit to deliver services to a child. Commute time from the provider's home to school or office at the start of the work day, breaks in service, and commute time from the last site to home does not count toward FTE.

Collaborative Co-Teaching Models

Reimbursement is authorized for collaborative co-teaching models. In these types of delivery models, one or more identified students with exceptionality receive services from the *special teacher* in a general education setting. Planning and instruction are the shared responsibility of both the *special teacher* and the regular education teacher who work collaboratively as a team. The names of students listed on the caseload of the *special teacher* should be found in the MIS child count data submitted by the LEA. The IEPs of these students must reflect the amount of service provided by the *special teacher*. Whenever a *special teacher* is teaching non-identified students in a group that includes identified students with exceptionalities, the focus of instruction is on the goals in the IEPs of the identified students. Reimbursement is not allowed for a *special teacher* who is the teacher of a general education class.

Coordinated Early Intervening Services (CEIS) Personnel

IDEA 2004 has authorized the use of up to 15% of an LEA's federal special education flow-through funds to be used for Coordinated Early Intervening Services(CEIS), provided to non-identified students in grades kindergarten through 12th grade, but preferably for students in kindergarten through 3rd grade. If personnel are hired or assigned to provide these early intervening services, these assignments are not eligible for state categorical aid. The percentage of time they are implementing CEIS must not be reported on the Personnel Report.

Documentation Required for Audit: General Issues

The types of documentation required for local education agencies (LEAs) for audit purposes:

IEPs/IFSPs	Services provided by <i>special teachers</i> to individual students will be reviewed on their Individualized Education Programs (IEPs). Services for infants and toddlers (ages birth to 3) will be reviewed on their Individualized Family Service Plans (IFSPs). Services for preschool children (ages 3 through 5) may be on an IEP or an IFSP.
Interviews	Will be conducted to clarify actual duties performed.
Job Descriptions	Will be reviewed to assure proper reporting of assigned duties. It is important that LEAs report accurately the job responsibilities of all personnel for whom they are claiming categorical aid reimbursement. The practice of reporting staff as a <i>special teacher</i> under one of the categories of exceptionality when that person actually has other job responsibilities (e.g., personnel development, supervisor/coordinator or program evaluation) gives a skewed picture of actual personnel needs and program growth.
Personnel Report	Information submitted by LEAs in the Personnel Report is routinely checked against certification and licensure records. KSDE provides this information for auditors.
Contracts	To assure proper reporting of assigned duties and for FTE claimed
Time Cards	For personnel contracted on an hourly basis.
Work Products	Written documentation of work provided, such as assessment reports.
GEI Plans	For professionals who must account for time spent providing general education interventions, auditors may request to review the intervention plans identifying the service provider's obligations, expected duration, etc
Supervision records	For supervision of paraeducators, when requested. Services provided to individual students may be reviewed.
Payment Records	To verify amounts paid and funding source used

Time & Effort and BiAnnual Certification

For the 2011-12 Fall semester forward (summer 2011 still requires the logs from the 2010-11 reimbursement guide) logs are no longer required.

Employees whose employment with an LEA is 100% special education must complete the bi-annual certification that all their work/effort was to further the goals of their federal and/or state funding sources. Employees whose employment with an LEA is not 100% special education must complete a monthly Time and Effort form stating the amount of daily time they spent for their various funding sources. All employees during the summer (extended school year) session must complete the monthly Time and Effort form. A sample Time and Effort form is available, districts are welcome to create their own.

Per OMB Circular A-87 (5) Personnel activity reports or equivalent documentation must meet the following standards:

- (a) They must reflect an after-the-fact distribution of the actual activity of each employee,
- (b) They must account for the total activity for which each employee is compensated,
- (c) They must be prepared at least monthly and must coincide with one or more pay periods, and
- (d) They must be signed by the employee.

Child Find Activities

Child Find, according to Kansas regulation 91-40-07, allows for the participation of special education staff in activities to identify, locate, and evaluate all children with exceptionalities that may be in need of special education services. As a result, KSDE provides reimbursement of *special teachers* and paraeducators involved in Child Find activities as specified below.

Screening:

Screenings conducted by special education teachers or paraeducators in accordance with policies and procedures adopted by the local board are reimbursable Child Find activities. While vision and hearing screenings are reimbursable Child Find activities, vision and hearing screening procedures that are afforded to all students as required by state law are not reimbursable even if performed by *special teachers*.

General Education Intervention:

For school age children, screening procedures also include General Education Interventions (GEI) as a means of locating children with exceptionalities. Special education personnel may participate in the general education interventions (GEI) process in a variety of ways, including activities such as:

Direct Services:

modeling of and coaching around instructional strategies; and providing intensive, direct instruction to students.

Indirect Services (do not require documentation for auditing purposes):

participating in problem-solving activities; consultation with other educators; collecting and analyzing student data; providing indirect classroom support to general education teachers

Certain direct instructional services provided to non-identified students as a part of Child Find do require additional documentation for auditing purposes.

When special education personnel provide direct instruction for a non-identified student (or students) without the presence of an identified student, there must be documentation on an individual student intervention plan (Student Support plan, GEI plan, SIT plan,

Student Improvement Log, etc.).A record or log listing the name of the special education provider, along with the actual minutes and dates services are provided must be available to the auditors upon request. In this situation, a special education teacher or other related service provider may provide intensive direct instruction for up to but not more than 18 weeks in a school year.

34 C.F.R. §300.208 provides some flexibility in Child Find activities in that it allows one or more non-identified students to also benefit from the instruction that is provided for an identified special education student. An example of this flexibility would be when special education personnel are providing tiered intervention or instruction to a group of students including identified and non-identified students and instruction is directly related to the student's IEP.

General education and special education staff **must** work collaboratively when assigning special education staff to provide direct instruction to non-identified students as part of general education interventions so that IEPs are followed and FAPE continues to be provided to identified special education students. Assigning direct GEI responsibilities to special education staff should be closely monitored to ensure compliance with IDEA.

Non Reimbursable Screenings:

72-1205. Free tests required; when and by whom tests performed: reports to parents. (a) Every pupil enrolled in a school district or an accredited nonpublic school shall be provided basic hearing screening without charge during the first year of admission and not less than once every three years thereafter. 72-5205. Basic vision screening required, exception; eye examination for conditions impairing reading ability. (a) (1) Each school board shall provide basic vision screening without charge to every pupil enrolled in each school under the governance of such school board not less than once every two (2) years.

34 C.F.R. §300.208 Permissive Use of Funds

For costs of special education and related services, and supplementary aids and services, provided in a regular class or other education-related setting to a child with a disability in accordance with an IEP of the child, even if one or more nondisabled children benefit from these services.

Substitutes

As long as a *special teacher* is being paid by the LEA (includes LEA-paid short-term disability insurance pay), only the original special teacher should be listed on the Personnel Report to cover both the teacher and the replacement's time filling the position. Substitutes are only reported when the regular teacher is not being paid by the LEA.

For positions covered by KSDE teaching endorsements, the following limits apply for substitutes that do not possess the required endorsements:

Valid teaching license – 125 days = .67 FTE Substitute license – 90 days = ..48 FTE ESub with degree – 30 days = .16 FTE ESub without degree – 15 days = .08 FTE

For related service positions, the substitute must possess the appropriate certificate, license, or registration for the position in order to be eligible for categorical aid. Since such substitutes are appropriately certified/licensed for the position, they should be reported on the Personnel Report under the appropriate professional classification, not as a substitute.

The amount of time substituting should not exceed the time allowed for a substitute's license/certificate.

Documentation Required for Audits: Substitutes Auditors will review:

- Personnel action(s) for substitute with assignment(s) identified
- Timesheets
- Certificate/license. The type of license held limits the number of days reimbursed:
- Emergency Substitute license w/o degree (valid up to 15 days)
- Emergency Substitute license w/baccalaureate degree (valid up to 30 days)
- Substitute Teacher license (valid up to 90 days)
- Certified (or Licensed) Teacher, not Special Education endorsed (valid up to 125 days).
- Special Education Endorsed/Certified/Licensed Teacher (length of assignment). Note: If the professional is appropriately licensed for the assignment, report the person in the assignment area, not as a substitute.
- State special education categorical aid will not be paid for substitute teachers or related service providers who do not have a current teaching certificate/license issued by the Kansas State Board of Education or by the relevant licensing agency.

91-31-34. Local board of education requirements.

- (a) General. Each local board of education shall ensure that each school meets the requirements of this regulation.
- (b) Staff.
 - (1) Except as otherwise provided in this subsection, in filling positions for which a license or certificate is issued by the state board, each school district shall employ persons who hold licenses or certificates with specific endorsements for the positions held.
 - (2) If a teacher holding an appropriate license or certificate is not available, the school district shall use a substitute teacher holding a valid Kansas teacher or administrator license or certificate at any level or in any field or subject. A school district shall not allow any person holding a Kansas teaching license or certificate to substitute teach for more than 125 days in the same assignment.
 - (3) If a substitute teacher holding a valid Kansas teacher or administrator license or certificate is not available, the school district shall use a substitute teacher holding a valid Kansas substitute teaching license or certificate. A school district shall not allow a person holding a substitute teaching license or certificate to teach for more than 90 days in the same assignment.
 - (4) If a substitute teacher holding a valid Kansas substitute teaching license or certificate is not available, the school district shall use a person who holds a baccalaureate degree and an emergency substitute teaching license or certificate. A school district shall not allow a person who holds a baccalaureate degree and an emergency substitute teaching license or certificate to teach for more than 30 days in the same assignment.
- (5)(A) If a person holding a baccalaureate degree and an emergency substitute teaching license or certificate is not available, the school district shall use a person who has been licensed or certified by the state board as an emergency substitute teacher. A school district shall not allow any person who does not hold a baccalaureate degree to teach for more than 15 days in the same assignment or more than 60 days in a semester.
 - (B) If a local board of education documents that there is an insufficient supply of substitute teachers, the board may appeal to the commissioner of education for authority to allow individuals holding an emergency substitute teaching license or certificate to continue to teach for an additional length of time that shall not exceed a total of 93 days in a school year.

Extended School Year (Summer session)

An extended school year setting is any setting in which state-approved special education services and/or related services are made available to students with disabilities beyond the regular school term provided to non-disabled children.

Reimbursement is provided for:

- extended school year services provided these services are specified in students' IEPs. Special
 education supports or modifications reflected in the students' IEPs are reimbursable even when
 provided during summer school. General education summer school programs are not eligible for
 reimbursement, even though students with disabilities may be included in these programs.
- personnel who conduct evaluations during a summer session.
- time spent in administering tests, analyzing test results, writing evaluation reports, conducting file reviews, and participating in staffings.
- Special education instructional media center staff and special education administrators and coordinators who supervise Extended School Year services only when students with IEPs are in attendance.

All staff must maintain Time and Effort sheets to document that services were provided during an Extended School Year. A schedule showing the times and dates students were in attendance must be maintained for auditors.

Extended School Year Contracts:

- The LEA calendar is used to determine the start and end dates for ESY services, these dates will vary from LEA to LEA.
- LEAs should report the actual number of hours worked during ESY contracts.
- If ESY contracts specify that personnel will be paid by the hour, reimbursement will be made on the basis of the total hours worked divided by 1116 hours, however, the number of hours claimed per day may not exceed the hours per day during the regular school year.
- If ESY contracts specify that personnel will work a certain number of days, reimbursement will be made on the basis of the number of full days worked divided by 186 days.

Early Childhood

Special education services for children ages 3-5 are delivered in a variety of early childhood settings. These may include, but are not limited to, early childhood special education self-contained classrooms, early childhood special education classrooms with peer models, public or private preschools, Head Start programs, or community childcare programs. In an effort to maximize resources, promote best practice, and create least restrictive environments for children with disabilities, many LEAs across Kansas are blending or combining preschool programs.

It is important to recognize that categorical aid is reimbursed based on how the special education services are delivered rather than the programs in which children are served. Listed below are the various service delivery models where children, ages 3-5, are typically served.

Service Delivery Models Co-Teaching Service Model

Reimbursement is authorized for the time *special teachers* are assigned to work collaboratively with preschool teacher(s) in a program designed primarily for typically developing children. In a co-teaching model, the special teacher and the regular preschool teachers(s) develop instructional plans together to meet the needs of all the children in the program. Regardless of the number of children with disabilities being served, FTE will not be prorated for the time a *special teacher* is providing services in a program that utilizes a co-teaching model.

Itinerant Service Model

Reimbursement is authorized for the time *special teachers* are assigned to provide instruction on an itinerant basis to children with disabilities in early childhood preschool programs, in the home, hospital or where ever has been determined as the least restrictive environment for that child.

Special Education Service Models

Reimbursement is authorized for the time *special teachers* provide instruction in early childhood special education programs. Special education service models include a variety of program configurations such as an early childhood special education reverse mainstream program or integrated programs. In special education service models, the special education teacher is the sole instructor and no regular preschool teacher is assigned to the program. If there are peer models in the program, the special education teacher is responsible for providing instruction to both children with disabilities and peer models.

Early childhood special education service models may also include blended programs where the special education program has been combined with one or more preschool programs such as Head Start or 4 Year Old At Risk. Regardless of the program configuration, if the special education teacher is the sole instructor, and the intent of the program is to maintain a 50/50 ratio (typically determined by averaging the pupil ratio based on child counts taken several times throughout the year), categorical aid will not be pro-rated for the time the special education teacher is providing services in the program. However, if the ratio of special education students on average is less than 50%, categorical aid will be pro-rated. The methodology for pro-rating FTE is provided:

Pro Rating FTE for Early Childhood

Full Time Special Education Teacher

Total caseload of students for the day (based on 2 sessions)

(15 peer models + 6 special education students = 21 total students)

Multiply the number of special education students by 2

6 X 2 = 12 (This is the total number of students needed for a 50/50 ratio)

Then, divide the number of students needed for a 50/50 ratio by the total of all students served in the program to obtain the *special teacher* FTE

12/21 = .57 FTE

Report the special teacher as .57 FTE in the Personnel Report

*Always round up when estimating FTE

Considerations of Early Childhood Activities Paraeducators

For purposes of categorical aid reimbursement, special education paraeducators are assigned to students and not to programs. A connection within the IEP must be identified that justifies the need for paraeducator support as determined by the IEP team.

Early Childhood Screening

Early childhood screening for children birth through age 5 suspected of having a disability is considered to be a special education function and is a reimbursable activity. Developmental screenings conducted by *special teachers* or paraeducators are reimbursable activities. Vision and hearing screening as part of early childhood screening is reimbursable. Reimbursement will not be provided for vision and hearing screening procedures that are afforded to all students as required by state law.

Early Childhood and Infant-Toddler Family Services Coordination

Services in early childhood and infant-toddler settings may be provided for children from 0 through 5 years according to an IEP or an Individualized Family Service Plan (IFSP). Family service coordination for children who receive services according to an IFSP or IEP from a *special teacher* is a reimbursable activity but cannot be the only service a child/family receives. Administrator time for Local Interagency Coordinating Council (LICC) activities is reimbursable. While paraeducators may assist in the delivery of family service coordination activities, they may not be the sole provider for these services. Paraeducators must be assigned and work under the direct supervision of a *special teacher* assigned to the delivery of family services coordination.

Part C - Infant/Toddler Services (IT)

School LEAs serving families of infants and toddlers with disabilities (ages 0-2) may claim reimbursement for special education services as established in the Individualized Family Service Plans (IFSPs). Administrator time for Local Interagency Coordinating Council (LICC) activities is reimbursable. LEAs responsible for making claims on behalf of an I-T network must work collaboratively with the I-T staff to ensure accuracy in fiscal reporting.

Reimbursement for Infant-Toddler services may be claimed by the LEA in the following ways:

Personnel Report/Categorical Aid

LEAs may contract with approved I-T Networks who provide I-T services utilizing *special teachers with* paraeducator supports. All I-T special teachers must be licensed or certified as established in the KSDE Reimbursement Guide. All I-T paraeducators must complete annual professional development requirements as specified within the Reimbursement Guide.

Infant-toddler service providers should be reported as IT on the Personnel Web Report. Related service providers for infant-toddler programs should be reported in their appropriate assignment but reported at the IT level. Personnel who work in both I-T and EC programs should be reported in dual assignments/levels. Part C data clerks are not eligible for categorical aid as MIS clerks.

Non-Public School Equivalency Contract (NPSE)

LEAs may contract with approved I-T Networks who provide I-T services to individual children using certified or licensed *special teachers only*. All IFSP service providers for a child/family must be reported on the contracts. To be eligible for reimbursement, all I-T special teachers must meet the licensure/certification requirements as established in the KSDE Reimbursement Guide.

Beginning on July 1, 2009, reimbursement for contracted services will be based on FTE that is derived by multiplying the total number of service hours provided as documented in the individual student logs by the FTE Rate Per Hour. To verify delivery of services, IFSPs are reviewed as part of the audit process. Service provider logs must document that services listed on IFSPs were actually delivered.

Non-public equivalency contracts for IFSPs written May 1 or after may be included with contracts submitted for the following school year

Audit Documentation Required

- IFSPs
- Individual child service logs (NPSE) or Provider Service Logs (Personnel Report)
- Contracts or Employee Contracts/Personnel Agreements
- Copy of License/Certification (NPSE)

Area of Assignment

Separate entries must be made on the KSDE Special Education Personnel Report to reflect the assignments of persons with duties in more than one area/field (e.g., 0.50 FTE behavior disorders special teacher and 0.50 FTE special education supervisor; or 0.33 FTE special education instructional media, 0.33 FTE learning disabilities special teacher, and 0.34 FTE special education supervisor). Special teachers assigned to areas for which there is no endorsement available are to be approved on the basis of a special education endorsement most appropriate to the students' functional and chronological age levels.

Licensed by KSDE

- AD Special Education Administrator
- AP Adaptive Physical Education
- AS Assistive Technology
- BD Behavior Disorder
- CS Counselor
- DT Diagnostic Teacher
- EC Early Childhood Special Education
- GI Gifted
- HI Hearing Impaired
- IT Infant/Toddler
- IR Interrelated Program
- IS Integration Specialist
- IT Infant/Toddler
- LD Learning Disability
- MC Special Education Instructional Media Center
- ME Special Education Music Education
- MR Mental Retardation
- PD Personnel Development
- PE Program Evaluation
- PI Physically Impaired
- PS School Psychology
- RC Rehabilitation Counseling
- SM Severe Multiple Disabilities
- SU Supervisor/Coordinator
- TR Transition Services
- VI Visually Impaired
- VO Vocational Special Needs
- WS Work Study/Vocational

Not Licensed by KSDE

- AU Audiology
- BS Behavior Specialist
- BR Braille Transcriber
- DM Dance/Movement Therapy
- IN Educational Interpreter
- MT Music Therapist
- NU Nurse
- OT Occupational Therapy
- OM Orientation/Mobility Specialist
- PT Physical Therapy
- RE Recreation Therapy
- RD Registered Dietician
- SW School Social Work
- SL Speech/Language

For details on required licenses, please see the following sections, and the chart in appendix B

OA - Other Assignment

Other Assignment is used for additional assignments over one FTE for both KSDE licensed areas and related service providers. Please see the section "Assignments

Reimbursable for Greater than 1.0 FTE "for

additional information.

Full-time Employee, Part-Time Special Teachers

Reimbursement will be pro-rated for special education personnel who are assigned part-time to general education duties. In some cases, it is an efficient use of LEA resources to assign a special teacher with proper certification and endorsements to teach one or more general education classes. The mere presence of some students with exceptionalities in such classes does not qualify these special teachers for categorical aid reimbursement. (See "Collaborative Teaching Models" section of this document)

Dual Roles, Both Special Education

LEAs may employ special teachers to fulfill more than one role. For example, a secondary special teacher who provides special education services for 3 hours per day may work as a transition specialist the other half of the day. Both roles are reimbursable, as long as the special teacher is appropriately certified/licensed/endorsed. In addition, the special teacher's contract must delineate the special teacher's dual roles, and documentation must be maintained to reflect the proper amount of time spent in both areas of responsibility, in accordance with the contract.

KSDE Licensed Personnel Details

Administrators (AD) and Supervisor/Coordinator (SU)

Special education administration and supervision is a related service (KAR 91-40-1(ccc)(18)) and may be reimbursed if the following conditions are met:

- The administrator's contract and job description specifically state special education administration is a responsibility and must reflect if he/she is also to administer programs that are outside of special education such as administration of federal programs not specifically addressing the needs of students with exceptionalities, LEA-wide testing programs, and programs for at-risk students..
- The special education administrator must be appropriately licensed by KSDE.
 - Special education director, assistant director or supervisor/coordinator endorsements
 - * Special Education Director 3019
- * District Administration with special education endorsement (hand approved) 3006

* District Leadership 73006

- chartenin (hana approx
- o Supervisor/coordinator or assistant director endorsements
 - * Special Education Supervisor/Coord 2900 * Building Administration with special education
 - Building or Program Leadership 73005/73010
- Building Administration with special education endorsement (hand approved) 3010
- Special education directors will be reimbursed only for time spent in special education functions.
 Time and Effort must document time spent in the various duties of regular and special education administration.
- Time spent as administrators for Part C programs must be coded by level in the Personnel Web System as IT.

Documentation Required for Audit: Special Education AdministratorsAuditors may:

- Review administrative contracts
- Review administrative job descriptions
- Conduct interviews to determine job responsibilities
- Review timesheets/Time and Effort documentation if administrator has responsibilities other than special education.

School Counselor (CS)

School counselors must hold the appropriate license from the Kansas State Department of Education. Time delivering routine services that may be provided to any (IEP or non-IEP) student is not reimbursable, the services reimbursed through categorical aid must be services related to the students' disabilities. General education intervention, not just attendance at meetings, is an allowed special education activity for school counselors since it is defined as Child Find in Kansas regulation.

School Psychologists (PS)

School Psychologists are licensed by KSDE with the following exception: *Clinical* psychologists, licensed by the Kansas Behavioral Sciences Regulatory Board *acting as a school psychologist* and *hired prior to July 1, 2007* remain eligible for reimbursement as school psychologists. Any clinical psychologist hired as a school psychologist after July 1, 2007 is not eligible for reimbursement.

School psychology interns, who are provisionally endorsed as school psychologists, are considered professionals for reimbursement purposes.

SEIMC Personnel (MC)

Special Education Instructional Media Center (SEIMC) programs must carefully document that services are provided to students who are exceptional or are in the process of being identified as exceptional. Checkout records should confirm that materials are primarily used by students with an exceptionality. Materials that are not being used by special teachers or students may be used by general education. LEAs that operate large media programs for all students may use checkout records to establish a ratio of usage by both special and general education personnel upon which pro-ration for reimbursement may be based. Accurate records of special education instructional media center acquisitions, loans, and other activities must be maintained.

Special education instructional media center materials must be related to:

- Identification.
- Evaluation, and/or
- Delivery of services for students with exceptionalities and their families.

State approval of a special education instructional media center professional requires:

- A valid Kansas teaching license; and
- Current endorsement in one or more areas of exceptionality.

Other Personnel

Personnel working in the areas of Assistive Technology (AT), Integration Specialist (IS), Rehabilitation Counseling (RC), Work Study/Vocational (WS), Diagnostic Teacher (DT), Personnel Development (PD), Program Evaluation (PE), or Transition Services (TS) are reimbursable as special teachers. In order to be claimed for reimbursement, these personnel must hold a Kansas teaching license with a special education endorsement, and be assigned special education duties and responsibilities.

Documentation needed for audit: KSDE endorsed personnel

- Personnel contract indicating area of work
- Student IEPs, if requested
- GEI plans, if requested, to document team decision of GEI services and their duration

Related Services Personnel Details

Related services personnel are considered to be *special teachers* and will be reimbursed according to the policies established in this Guide.

Related services personnel must be qualified and meet the established standard for that profession. If the related services provider is credentialed by an entity other than KSDE, KSDE recognizes that credential as the state standard. To be reimbursed, LEAs must ensure that they hire or contract with personnel who meet the state standard and have the appropriate credential.

Audiology (AU)

Current and valid license from KDHE Health Occupations Credentialing. An audiologist who holds a temporary license may not supervise assistants.

Behavior Specialist

Certification as a Board Certified Behavior Analyst (BCBA) from the Behavior Analyst Certification Board (BACB).

Braille Transcriber

Individuals who transcribe Braille and are certified (passed one of the assessments for Braille Transcribers) by the National Federation of the Blind (was Library of Congress) will be reimbursed at the professional level.

Interpreter/Educational Interpreter (IN)

LEAs may consider interpreters as professionals, regardless of their certification/assessment level. However, to be reimbursed at the professional level, a sign language interpreter for students who are deaf/hard of hearing must meet one the following criteria:

Accepted Certifications for reimbursement as a Professional Interpreter:

- EIPA: 4.0 minimum, must retake every five years unless score is 5.0
- KQAS: 4.0 minimum on EITHER interpretation or transliteration, must maintain current certification (Kansas Commission for the Deaf and Hard of Hearing)
- ESSE: 4.0 minimum on BOTH receptive and overall (ESSE:R plus ESSE:I or ESSE:T). Must retake every five years unless score is 5.0 in both receptive and overall.
- RID: National Interpreter Certification, must retake every four years
- Certifications by other states may be accepted, must be current.
- A two year Professional Development Plan is available twice for interpreters who scored at least a 3.0 on the EIPA to allow them to be reimbursed as professionals while developing their skills to achieve a minimum 4.0 score on the EIPA.

Professional Interpreters must also have the following:

- Must have a contract that reflects the interpreter is paid as a professional,
- Must be supervised by a professional qualified to teach students who are deaf/hard of hearing and
- Must meet staff development requirements

Professional Development Plan

For an interpreter scoring 3.0 or above but less than 4.0 on the EIPA or the ESSE, a two year professional development plan waiver may be submitted for approval to KSDE addressing skill deficits identified by the EIPA or the ESSE. The complete EIPA or ESSE evaluation results should be submitted along with the plan. The professional development plan must provide a timeline which includes retesting to demonstrate progress by the end of the two year period. The professional development plan may be used twice, for a total of four years, to access categorical aid at the professional level. The Professional Development Plan may be found in Appendix C.

Music Therapist (MT)

Music Therapist – holds current board certification through the Certification Board for Music Therapists (MT-BC); or currently holds the professional designation Registered Music Therapist (RMT), Certified Music Therapist (CMT), or Advanced Certified Music Therapist (ACMT), and is in good standing with the National Music Therapy Registry

Nurse/School Nurse (NU)

Must be Registered Nurse (RN) through Kansas Board of Nursing. Can be claimed for the provision of special education services as identified in the anticipated services section in individual students' IEPs which must contain anticipated frequency, duration and location of specific special education nursing services. Time delivering routine nursing services that may be provided to any (IEP or non-IEP) student such as first aid, etc. is not reimbursable, the services reimbursed through categorical aid must be services related to student disabilities.

Occupational Therapist (OT)

Current license from the Kansas Board of Healing Arts.

Orientation/Mobility Specialist (OM)

Certifies Orientation and Mobility Specialist (COMS) from Academy for Certification of Vision Rehabilitation and Education Professionals (ACVREP).

Physical Therapist (PT)

Current license from the Kansas Board of Healing Arts.

Recreation Therapist (RE)

Current certification from the National Council for Therapeutic Recreation.

Registered Dietician (RD)

Current and valid license from KDHE Health Occupations Credentialing

Speech Language Pathologist (SL)

Current and valid license from KDHE Health Occupations Credentialing. A speech-language pathologist who holds a temporary license may not supervise assistants.

Social Worker (SW)

Current and valid license issued by the Behavioral Sciences Regulatory Board

- Licensed (or Temporary) Master Social Worker (LMSW or TLMSW)
- Licensed (or Temporary) Specialist Clinical Social Worker (LSCSW or TLSCSW)

Time delivering routine services that may be provided to any (IEP or non-IEP) student is not reimbursable, the services reimbursed through categorical aid must be services related to the students' disabilities. General education intervention, not just attendance at meetings, is an allowed special education activity for social workers since it is defined as Child Find in Kansas regulation. Licensed Professional Counselors (LPC) may be submitted for hand approval to KSDE as a social worker.

Documentation needed for audit: Related Personnel

- Licenses or test results
- Personnel contract indicating area of work
- Student IEPs, if requested
- GEI plans, if requested, to document team decision of GEI services and their duration

Paraeducators (nonprofessionals)

Reimbursement for nonprofessionals will be at .40 FTE (2/5 full-time equivalent) of the special education teacher rate, provided minimum standards have been met, staff development requirements are fulfilled, and the paraeducator is supervised as specified in this guide or required by the licensed profession.

To be employed as a special education paraeducator, the minimum requirements are:

- Be a high school graduate, and;
- Complete an orientation session addressing confidentiality, the services to be provided, and the policies and procedures of the local education agency concerning special education.

LEAs are welcome to add additional requirements. Title One schools have different requirements; please see the Title One section of this guide for more information.

Paraeducator Duties

Paraeducators provide instructional or related services under the supervision of licensed or certified special education professionals in an accredited or approved special education program.

Examples of allowable activities include

- instructional support under the supervision of a professional,
- participation in IEP meetings;
- parent-teacher conferences;
- staff development; and
- student data collection and record-keeping, such as maintaining observational and anecdotal records;.
- assisting the *special teacher* with paperwork related to support provided to students with exceptionalities for whom s/he is responsible;
- supporting students with exceptionalities in a general education program if the paraeducator is supervised by a *special teacher*.

If paraeducators participate in these activities, the time will be counted as student contact hours for the purpose of computing special education reimbursement.

If paraeducators do not participate in these identified activities or some other special education instructional or related services, the time will not be counted as student contact hours for the purpose of computing special education reimbursement. Other duties may be performed by paraeducators but LEAs will not be reimbursed for the time spent on non-special education related activities.

Paraeducators **must not** be:

- Responsible for selecting or administering formal diagnostic or psychological instruments or for interpreting the results of those instruments;
- Responsible for selecting, programming, or prescribing educational activities or materials for the students without the supervision and guidance of the *special teacher*;
- Solely responsible for preparing lesson plans or initiating original concept instruction;
- Assigned to implement the IEP for students with exceptionalities without direct supervision and involvement from the professional;
- Employed in lieu of certified or licensed special education personnel;
- Used as substitute *special teachers*, unless paraeducators possess the appropriate Kansas certification or license;
- Performing nursing procedures or administering medications without appropriate supervision/training from an approved health care professional.

Paraeducator Reimbursement Issues

- Medicaid clerical work, even if full-time to obtain special education reimbursement, is not a reimbursable activity;
- Secretarial, bookkeeping, and clerical support work, even if in the offices of special education cooperatives or interlocals, are not reimbursable;

- If a school or district is implementing the components of a state or federal grant, activities are not reimbursable;
- Contracted half-time employees not working regularly scheduled half-time hours or days, will be
 pro-rated according to the percentage of hours or days worked, depending on the contract
 language. Records must be maintained to document number of hours or days worked.

Staff Development

Each local education agency must prepare and maintain documentation of the annual staff development provided for special education instructional paraeducators for a period of at least 3 years. (Paraeducators may write Individual Development Plans but it is not required.) Paraeducators must participate in staff development, regardless of the number of hours/day or days/week worked.

Each staff development program must include the following:

- 1. An orientation session (e.g., confidentiality of student records, important school policies, etc.) at the time a paraeducator is employed and an annual orientation thereafter to ensure compliance with confidentiality and other requirements. (Note: Beginning of year inservices in which special education issues are on the agenda are considered orientation); and
- 2. Staff development activities specifically related to the area and type of program in which the special education instructional paraeducator is employed.

Tiered Paraeducator Inservice Requirement

Staff development requirements are tiered based on the special education experiences and/or credentials of the paraeducator.

Staff Development Hours - Locally Determined

Inservice requirements are determined by the LEA for paraeducators that hold a current Kansas license/certificate in the following areas:

- o Teacher
- o KSDE Certificate stating NCLB requirement met during current school year.
- Related service provider, (SLP or OT, PT, etc.)
 Occupational Therapy Assistant (OTA)
 Physical Therapy Assistant (PTA) or;
 Licensed Practical Nurse (LPN)

Twenty Staff Development Hours Required: Paraeducators who have worked as a Kansas special education paraeducator less than 3 years (within the past 3 years)

Ten Staff Development Hours Required: Paraeducators who have worked as a Kansas special education paraeducator for more than 3 years (<u>including each of the past 3 years</u>). A school year may be counted if it was 9 months (or the full school year) AND the appropriate amount of inservice was obtained. Short breaks may have been taken during the year.

College Credits

College hours in related subjects may be substituted for special education inservice hours. Each college hour will be counted as twenty staff development hours, applied to the school year in which the coursework was obtained. College hours should be reported as the college credit earned because the conversion to staff development hours is automatically calculated within Personnel Web.

Incomplete staff development

For paraeducators who have worked the entire nine month school year, if an LEA does not produce evidence that a paraeducator has completed the required staff development, categorical aid will be prorated based on the required number of staff development hours. Auditors will compute the paraeducator FTE, by computing the percentage of staff development (actual divided by needed) and

then compute the adjusted FTE. For example, the paraeducator works 750 hours, and has only 8 hours of inservice, but needed 10.

750 hours / 1116 = .67

8 hours / 10 required = .80

 $.67 \times .80 = .54$ audited FTE

The maximum number of hours that will be credited in this equation is 1116 (1.0 FTE) regardless of the number of hours claimed.

Partial Year

This table may be used as a guide for LEA administration to determine the number of staff development hours for paraeducators hired after the start of the school year.

A month is figured from the date of employment to that same date next month (e.g., August 25 to September 25). This includes school holidays.

Time of Employment	20 Hours Required	10 Hours Required
Less than 1 month and 10 days	2	2
Less than 2 months	3	
Less than 2 months and 10 days	4	3
Less than 3 months	5	
Less than 3 months and 10 days	6	4
Less than 4 months	7	4
Less than 4 months and 10 days	8	5
Less than 5 months	9	5
Less than 5 months and 10 days	10	6
Less than 6 months	11	
Less than 6 months and 10 days	12	7
Less than 7 months	13	
Less than 7 months and 10 days	14	8
Less than 8 months	15	0
Less than 8 months and 10 days	16	9
Less than 9 months	18	9
9 months or more	20	10

Supervision and Assignments

The assigned supervisor of a paraeducator generally is the professional responsible for the provision of special education and related services who is receiving assistance from that paraeducator.

The identified supervisor must be:

- Claimed on the personnel report for special education categorical aid and;
- Responsible for the paraeducators day to day job performance and evaluation.

Unless otherwise indicated, paraeducators must be directly supervised a minimum of 10% of the time they are working with students. In addition to locally determined paraeducator supervision policies, the following supervision requirements apply.

When the supervising special teacher is not in the building on school property When the assigned *special teacher* is not present, a paraeducator must have a designated principal or teacher available in the building for assistance and supervision as needed.

When the paraeducator is assigned to a learning site off school property

The *special teacher* must work with the paraeducator and the student at least twice a week if services are provided daily. If services are provided at least once a week, 20% of the sessions per month must be supervised. If services are provided less than one time a week, 20% of the sessions per quarter must be supervised.

Paraeducators claimed for more FTE than supervisor

Due to scheduling requirements, there may be situations where a paraeducator is claimed for more FTE than the assigned supervisor. This is a rare occurrence, and LEAs should be able to provide an identified process to ensure adequate supervision has been developed and implemented for all such instances.

Specific Paraeducator Assignments

Administratively Assigned Paraeducator

There are three reimbursable administrative paraeducator assignments. The following should be assigned to an administrator for supervision and must meet all teaching paraeducator requirements.

Management Information System (MIS) Paraeducators:
Reimbursement as a paraeducator is authorized for persons responsible for collecting, entering, and verifying MIS data for an LEA. MIS clerks attend trainings that count towards a LEA's Indicator 20 points. MIS clerks may assist with the review, maintenance, and storage of IEPs and accompanying paperwork. Responsibilities that do not qualify as MIS duties include maintaining the personnel database, processing Medicaid claims and serving as an administrator's secretary. Records must be maintained for part-time clerical/part-time MIS work, to record the number of hours spent with MIS data entry, maintenance, and storage.

Responsibilities of MIS clerks and how many are needed.

It is difficult to establish a standard because districts vary in who reviews and how records are managed. If the FTE for MIS is excessive, an auditor has the authority to investigate and prorate categorical aid after consultation with the Directors of Fiscal Auditing and Special Education Services.

Part C data clerks are not eligible for categorical aid as MIS clerks.

Special Education Instructional Media Center (SEIMC) Paraeducators: Authorized for persons responsible for the organization, inventory, tracking and movement of SEIMC materials. The paraeducator may be assigned to a special education administrator **or** an SEIMC professional. The SEIMC paraeducator may NOT be responsible for selecting materials to be ordered and maintained in the media center. Documentation records are required.

Translator: Reimbursement as a paraeducator is authorized for persons responsible for translating special education documents from English to another language. Reimbursement will be prorated for clerical duties and for translating non-special education paperwork. Documentation records are required.

Audiologist Paraeducator

Paraeducators assigned to audiologists must be supervised a minimum of 10 percent of the time they are working with students (training time does not count toward this minimum percentage). Audiologists are required to train, monitor, supervise, and evaluate paraeducators/ assistants assigned to them. A speech-language pathologist or audiologist who holds a temporary license shall not be eligible to supervise assistants. Refer to of KAR 28-61-8 in Appendix G. Audiologist paraeducators/assistants performing hearing screening must have the appropriate current level of training for the type of hearing screening performed (Level 1 and 2—every two years).

Behavior Specialist Paraeducator

Certified as a Board Certified Associate Behavior Analyst (BCaBA) through the Behavior Analyst Certification Board (BACB).

Braille Transcription Paraeducator

Must be supervised by a qualified professional.

Interpreter Paraeducator

Paraeducators who are interpreting for students who are deaf/hard of hearing may be claimed on the Personnel Report if they scored less than a level 4 and do not have an approved EIPA professional development plan on file as described in the professional interpreter section. The paraeducator interpreting for students should show an interpreting (IN) assignment in the Personnel Report. They must be supervised by a qualified professional and must meet staff development requirements for paraeducators. Documentation of a current assessment and score should be kept on file and be made available for auditors.

Nurse Paraeducator / Attendant Care

Paraeducators who provide nursing or school health services are persons who, by specialized training from registered professional nurses, are qualified to carry out basic nursing tasks or procedures in the

care of students, according to the students' Individualized Health Care Plans, which become part of their IEPs. Special education reimbursement is authorized for school nurse paraeducators, whose personnel agreements designate them as paraeducator/aide for a school nurse, who provide nursing or school health services specified in students' Health Care Plans and/or IEPs and that are appropriately delegated and supervised by registered professional nurses. First aid is not a special education service and is not reimbursable. See the requirements of KAR 60-15-101, Performance of Selected Nursing Procedures in School Settings, in Appendix H.

Occupational Therapist and Physical Therapist Paraeducator

Paraeducators assigned to OTs and PTs must be supervised according to statues and regulations established by the Kansas State Board of Healing Arts (http://www.ksbha.org/). Licensed OTAs and PTAs have no set minimum supervision, the supervising OT/PT will determine the appropriate supervision level. Unlicensed personnel must be supervised 100% of the time by either a licensed OT/OTA or PT/PTA. For reimbursement, the overall supervising OT/PT should be listed as the supervisor, but LEAs should be prepared to show that a licensed person (OT/OTA or PT/PTA) was supervising unlicensed personnel at all times.

School Psychologist Paraeducator

Paraeducators who are school psychology practicum students and are placed in a LEA by a training institution, may participate in those activities normally carried out by the fully-endorsed school psychologist. All psychological evaluations, including testing and behavioral observations, must be reviewed and signed by the supervising psychologist. The supervising psychologist, as a member of the IEP team, must maintain responsibility for special education decisions.

Paraeducators who hold a baccalaureate degree, preferably in psychology or education, may be reimbursed if:

- Responsibilities of the paraeducator are planned and supervised by a qualified professional and are based on the person's competency to carry out the responsibilities. If needed, preservice or staff development may be provided in relation to the duties;
- The paraeducator is not involved in psychological counseling or therapy, or in psychological evaluation; and
- The paraeducator is under the direct supervision of a licensed school psychologist.

Paraeducators not holding a baccalaureate degree must follow the restrictions and supervision requirements above AND are limited to performing tasks such as gathering assessment data for purposes of evaluation, record review, ecological or computer based observation, and administering locally developed curriculum based measurements (CBMs).

Social Work Paraeducator

Paraeducators must hold a baccalaureate degree in social work and

- may perform tasks related to school social work for which they are trained.
- may not be involved in conducting intervention techniques, including psychotherapy; and
- must be under the supervision of a licensed school social worker who provides direct supervision at least 4 hours per week for each paraeducator. (K.A.R. 102-2-8)

Speech Language Pathologist Paraeducator

Must be supervised a minimum of 10 percent of the time they are working with students (training time does not count toward this minimum percentage) by a licensed speech-language pathologist. Speech-language pathologists are required to train, monitor, supervise, and evaluate paraeducators/ assistants assigned to them. (KAR 28-61-8 in Appendix G.)

Documentation Required for Audit: Paraeducators

- Personnel agreements and contracts for professionals and paraeducators
- Staff development reports for individual paraeducators, if requested,
- Documentation of staff development/inservice hours
- Evidence of supervision, if requested
- Evidence of appropriate license or education if specified in description.

Title I Schoolwide Programs

Since reauthorization of the Improving America's Schools Act (IASA) and IDEA in mid-1990's, the schoolwide program is offered as an effective means of ameliorating learning differences between and among students regardless of etiology (e.g., disadvantage or disability). Schoolwide programs allow high-poverty schools the ability to offer all students a challenging academic curriculum by enriching the entire academic program.

Federal Requirements:

A school may operate a Title I schoolwide program if at least 40% of the children enrolled in the school or residing in the school attendance area meet low-income requirements, or are approved by KSDE through the Ed Flex waiver.

A schoolwide program school may combine Federal funds and resources from other Federal education programs in addition to its Title I funds and resources to provide special services to all students in the school. In terms of combining funds from IDEA, the Title I regulations stipulate the following:

- An LEA may use IDEA funds based on a per-child share of its Federal Part B allocation in schoolwide schools in which children with disabilities are participating.
- The amount of Part B funds that may be combined may not exceed an amount equal to the number of children with disabilities participating in the schoolwide program multiplied by the amount of Part B funds per child with disabilities the LEA received.
- All other IDEA requirements, such as those concerning the rights and services afforded to individual children with disabilities, must still be met for children in schoolwide program schools. IEP goals and objectives must be addressed.

Requirements for Paraeducators Under No Child Left Behind Law

Effective January 8, 2002, all paraeducators hired after this date to work in Title I schoolwide programs must have:

- Completed at least 2 years of study at an institution of higher education. A minimum of 48
 hours must be accumulated. The college hours must be hours that can be applied to a
 baccalaureate degree.
- Obtained an AA degree (or higher), or
- Met rigorous standards as demonstrated through a formal State or Local assessment.
 Approved paraeducator assessments are (1) ParaPro by ETS; (2) WorkKeys by ACT, 3 assessments and inventory; and (3) ParaEducator by Master Teacher, 2 assessments.

LEAs must ensure all paraeducators hired before January 8, 2002, and working in a Title 1 schoolwide program, have met the requirements by end of FY2006. There is no grace period for new paraeducators or veteran paraeducators working in a Title 1 schoolwide program who have not met Title 1 requirements for the FY2007 school year.

State Requirements

The following provisions must be met to access State categorical aid for staff participating in schoolwide programs:

- The professional staff person or paraeducator must be contracted for and paid out of the special education fund.
- A special education professional or a paraeducator cannot be the sole teacher or aide in a regular education classroom, and
- The amount of state categorical aid that may be accessed may be determined in two ways:
 maintaining a log of the amount of time the professional or paraeducator actually spent delivering
 services and/or supports for special education students; or a pro-ration of total amount of time
 contracted based on the proportionate percent of identified special education students as compared
 to the building as a whole.

Example: Total student population in the building = 300 Total identified special education students = 30 30/300 = 10%

If the contract stipulates at least 10% of the paraeducator's time is paid by special education funds, categorical aid may be accessed at 10% for that paraeducator. If a paraeducator is paid 50% out of special education and 50% Title I funds, and records are not maintained and the school has a 10% ratio of special education to general education, 10% of categorical aid could be accessed. A schoolwide program school must meet the intent and purposes of the programs from which funds are combined to ensure that the needs of the intended beneficiaries of those programs are addressed.

Paraeducators, who may be working with students in various programs such as special education, bilingual, Title 1, or at-risk, will have their time pro-rated according to the number of students served in special education compared to the total population being served in the schoolwide school. Paraeducators must be supervised by a special education teacher. LEAs are required to maintain a list of paraeducators involved in schoolwide programs and documentation on the calculation of special education percentages.

Summer Schoolwide Programs

Categorical aid is available for summer schoolwide programs. The same guidelines apply for summer schoolwide programs as for regular term schoolwide programs.

Documentation Required for Audit: Schoolwide Programs

Federal funds and categorical aid accessed for schoolwide programs will be audited by KSDE auditors. Documentation considered:

- Personnel Report information provided by KSDE
- Student head count/FTE data, general and special education, provided by KSDE
- Personnel agreements/contracts
- Approved VI-B budget
- Timesheets or proof of salary payments
- Logs of service time, if available
- Records of supervision of paraeducators

Contracted Services

LEAs may need to provide special education or related services for certain students with an exceptionality through approved contractual agreements. *Special teachers* (pro-rated or not) must be paid from the special education fund for the time they provide special education services.

Contracts for non-special education services

Contracts entered into between LEAs and agencies providing non-special education programs, courses or training etc., do not qualify for reimbursement. These include but are not limited to, on the job training, vocational, technical school programs taught by non-degreed staff or any staff who do not hold valid special education licensure. Note: Certified or support staff employed by the LEA who accompany the student to the job site or vocational program etc., **are** reimbursable though the Personnel Report.

There are three types of contractual agreements that are eligible for reimbursement:

(1) Contracts between LEAs (KSA 72-967(a)(3))

One LEA contracts with another. Examples include an agreement between a district and a cooperative, between a cooperative and a special purpose school, or between an interlocal and a district. The common element in this type of contract is that all service providers are employed by the second party and are "LEA employees." Because the second party reports the service providers to KSDE for categorical aid reimbursement, these contracts are not submitted to KSDE. Categorical aid reimbursement will only be paid to the LEA that pays the salary to the special teacher. Additional reimbursement will not be paid to the LEA contracting for the service. KSDE does not provide contract forms for such agreements, they are generated locally. **Reporting**: Only the district paying the individual's salary may report the individual on the Personnel Report. The contracting LEA reports the travel expenses associated with the teacher working in the contracting LEA.

(2) Contracts with an Individual Service Provider

Between an LEA and an individual who is not an employee of any LEA. Examples include, but are not limited to, private practice clinicians who provide related services such as OT, PT, or Speech-Language Pathology services. The contracts may be for individual students or for more than one student. The actual service provider is the same all of the time. Such providers must meet the same credentialing requirements as LEA employees. *Special teachers*, contracted by individual professional contracts, must be paid from the special education fund for the time they are providing special education services. These providers are reported on the KSDE Personnel Report. Their travel expenses reimbursed by the LEA are eligible for categorical aid reimbursement. (See D, Transportation, example 4.)

The contractual agreement must include the name of the *special teacher* and his/her professional certificate, license, or registration number. Once the contract is approved by both parties, the LEA enters the name of the *special teacher* and the amount of time listed in his/her contract on the KSDE Personnel Report. KSDE does not provide contract forms for such agreements, they are generated locally. **Reporting**: The LEA reports the individual on the Personnel Report. Travel expenses are reported for transportation reimbursement.

(3) Contracts with any private nonprofit corporations or public or private institutions (KSA 72-967(a)(5))

An LEA that enters into contract with any private nonprofit corporations or public or private institutions to provide special education services may be reimbursed through either a Non-Public School Equivalency contract or through the Personnel Web System depending on the circumstances of the special education services provided and the contractual agreement.

Non-Public Equivalency Contract (NPE)

These contracts are between an LEA and any private nonprofit corporation or any public or private institution, within or outside the State. Examples include, but are not limited to, hospitals, clinics, agencies, or teams/ consortiums/ groups of teachers. Non-Public School Equivalency contracts are agreements between an LEA and another agency to provide services on an individual student basis or to provide services to a group of students. There are

suggested contract forms on the KSDE Special Education Funding page at http://www.ksde.org/Default.aspx?tabid=2583

- It is recommended that Invoices for residential students be itemized by residential costs and education costs for auditing purposes.
- Dates on the contract should be the first and last day of services in the given school year.
- The service provider may or may not be the same person for the duration of the contract.
- Travel expenses are claimed separately under Teacher Travel on Form 308, Line 8.
- All services provided through a Nonpublic School Equivalency Contract, must be itemized on the contract by type of special education or related service provided (IT, SL, OT, etc.).
- Names, Social Security numbers and license information must be listed on the contract
 for all service providers listed and logged and made available to KSDE to verify
 appropriate and current credentialing. Providers must meet the same credentialing
 requirements as LEA employees and all services must be provided by appropriately
 licensed professionals. Audit adjustments may result if the provider is not fully
 licensed for the contractual period, or if the contracted provider failed to deliver any
 services to the student that were claimed for reimbursement.
- Reimbursement for contracted services will be based on the number of service hours as documented in the individual student logs.
- All dates of service must be documented, as per dates of service listed on IEPs or IFSPs.
- For all school age children; evidence of enrollment in the claiming LEA via the KIDS and MIS databases.
- Contracts must be written for the dates of actual services, <u>not</u> when the student was
 determined to be eligible for services, or the date that the contract was drawn up, if
 before the starting date for service delivery.
- Nonpublic School Equivalency Contracts for new students written May 1 or after may be included with contracts submitted for the next school year.
- Providers are NOT reported on the KSDE Personnel Report.

Reporting: Reported to KSDE through the MIS data system. See the MIS Data Dictionary for reporting criteria and file specifications. Reimbursement for this type of contract will be paid on the June 1 final payment to the LEA. On the final payment report, the reimbursement is listed as "Non-Public School Equivalency.

Personnel Web System

In some circumstances LEAs may decide to report the private nonprofit or public/private institution personnel on the Personnel Web System for purposes of claiming categorical aid. These contracted employees must meet the same credentialing requirements as LEA employees. In addition, contracted providers serving as paraeducators must meet the inservice hour requirements as established by KSDE and outlined in this Reimbursement Guide.

Reporting: The LEA reports the individual on the Personnel Report. Documentation is the same as for LEA employees. Travel expenses are reported for transportation reimbursement.

Documentation for Audit: Contracts

Categorical aid accessed through contracts will be audited. Documentation for review:

- Contracts
- Service logs for individual students
- Documentation for travel expenses reported for reimbursement
- IEP/IFSP

- Licensure for related service providers
- Documentation of inservice requirements for paraeducators (not applicable to Non-Public Equivalency contracts)

APPENDIX A

Completing Claim Form 308 Special Education Transportation

Transportation for Special Education purposes is reimbursed at the rate of 80% of actual costs. The claim for reimbursement is made on Form 308 through Authenticated Applications. Specific instructions will be sent out to school administrators each year.

Line 1: Salary of bus Drivers and Transportation Aides

This line should include salary, benefits and payroll taxes (social security, unemployment, worker's comp, insurance, etc.) for special education bus drivers and aides assigned to a bus for the purpose of transporting special education students. Administrative and mechanic salaries are not included on this line.

The cost incurred for paraeducators accompanying an exceptional student on the special education bus outside the school day should be claimed here. This time must **NOT** be included as time worked by the paraeducator on the LEA's personnel report.

Line 2: Contracted Services

Contracted services include payments made for contracted bus service and for mileage paid to parents who transport special education students. This line would include taxi services, services supplied by another LEA and services provided by private bus companies, however, these costs can only be claimed if the LEA bears the financial responsibility for the transportation.

Line 3: Insurance

Enter the insurance payments covering the transportation vehicles used to transport special education students. If the vehicles are used for other purposes the insurance cost must be pro-rated based on the number of special education miles divided by total miles driven for the vehicle. The LEA **MUST** verify that insurance costs have not been duplicated on the Annual Statistic Report Form 18E on the regular route pupil transportation expense form.

Line 4: Maintenance provided in Lieu of Transportation

This line item includes the costs of room and board or non-medical care or both of an exceptional child if the LEA in which the child resides provides special education services to the child at a place outside the LEA in which the child resides. The maximum amount that can be claimed in this line item is \$750 per child.

Line 5: Other Expenses

Other expenses include gasoline, oil, maintenance, tires and repairs of special education vehicles. If a vehicle were used for dual purposes (partly special education, partly non-special education) the prorated share of the above items would be allowed. Administrative salaries are entered here but are not to exceed 10% of the line 5 expenditures. Vehicle maintenance salaries are also allowed on this line.

Line 6: Capital Outlay Fund

Expenditures for equipment used in transporting students such as major modifications made to vehicles, which include lifts, bus safety lights, and other items needed to equip vehicles for special education. Do not include the cost of buses or cars. The LEA may opt to claim the total cost of the modification of special education vehicles in the year of the expense or may depreciate the cost over an eight-year period.

Line 7: Depreciation

The only method of depreciation allowed is straight-line depreciation over 8 years on vehicles used exclusively for the transportation of exceptional children or the pro-rated share for vehicles used for dual purposes. Instructions of Form 3008 state the LEA must own the bus on June 1 of the claim year. For the 2006-07 school term, a bus must have been delivered on or after June 1, 1999 in order for depreciation to be taken. Depreciation claimed on Form 308 must not also be claimed on the Annual Statistic Report Form 18E on the regular route pupil transportation expense form.

Line 8: Teacher Travel

Actual travel allowances paid to special teachers for each mile actually traveled during the school year in connection with duties in providing special education services for exceptional children. Rates are specified under K.S.A. 75-3203.

Note: An Attorney General opinion (81-13 dated January 15, 1981) ruled that travel to attend meeting, in-service workshops, special institutions and other professional meetings is not reimbursable under state statute.

Out of district mileage is allowed as specified in the student's IEP.

Unallowable costs under teacher travel for line 8:

- Teacher lodging, meals, and conference registration fees
- Travel associated with the delivery of films, supplies and equipment
- Travel associated in the performance of maintenance
- Travel in recruiting special education teachers
- Travel outside the LEA boundaries unless required by a student's IEP

Line 9 - Total Lines 1 through 8

Line 10: Transportation Expenditures Reimbursement

Any reimbursement received such as insurance recovery or payments from other LEAs or organizations for the use of special education vehicles should be listed here.

Line 11 – Net Pupil Transportation

Subtract Line 10 from line 9.

Line 12 - Total Transportation Aid

Multiply line 11 times 80%

May and June Projected Expenses

Claim Form 308 is due to the Kansas State Department of Education on or about May 5 each year. This date is set to allow payments to be made to LEAs before the end of the fiscal year June 30. This early filing deadline requires LEAs to make estimates of May and June expenses.

APPENDIX B

Licensing Requirements

	KSDE Endorsements									
NONE of these a	NONE of these areas need licenses to be submitted, the information is pulled from the KSDE databases									
Area	Name	Area	Name							
AD	Special Ed. Admin	MC	SEIMC							
AP	Adaptive PE	MR	Mental Retardation							
AS	Assistive Technology	PD	Personnel Development							
BD	Behavior Disorder	PE	Program Evaluation							
CS	Counselor	PI	Physically Impaired							
DT	Diagnostic Teacher	PS	Psychology (Clinical Psych (KBSRB) ok if hired before 2007)							
EC	Early Childhood	RC	Rehab. Counseling							
GI	Gifted	SM	Severe Multiple Disabilities							
HI	Hearing Impaired	SU	Supervisor							
IR	Interrelated Program	TR	Transition Services							
IS	Integration Specialist	VI	Visually Impaired							
IT	Infant/Toddler	VO	Vocational Special Needs							
LD	Learning Disability	WS	Work Study/Vocational							

Other Licenses or Certifications

Area	ea Description Certification/Licensing Agency		Req Cert	Send in?
AT	Art Therapy	Art Therapy Credentials Board	Registered Art Therapist (ATR, ATR-BC)	NO
AU	Audiology	KDHE-Health Occupations Credentialing	Audiologist	NO
BR	Braille Transcriber	National Federation of the Blind (was Library of Congress)	Braille Transcriber (Permanent License)	YES
BS	Behavior Specialist	Behavior Analyst Certification Board	Board Certified Behavior Analyst (BCBA)	YES
	·	EIPA	Level 4, good for 5 years Level 5, permanent	YES
		KQAS	Level 4 or 5 in Interpreting or Transliteration, expires yearly	NO
IN	Educational Interpreter	RID	National Interpreter Certification (NIC) from RID (4 years)	YES
	·	ESSE:R AND ESSE:I or ESSE:T	Level 4 in Overall AND Receptive, good for 5 years Level 5 in Overall AND Receptive, permanent	YES
MT	Music Therapy	СВМТ	MT-BC	NO
IVII	Music Therapy	NMTR	RMT-CMT-ACMT	YES
NU	Nurse	Kansas State Board of Nursing	Registered Nurse (RN)	NO
ОМ	Orient./Mobil. Specialist	ACVREP	Certified Orientation and Mobility Specialist (COMS)	YES
ОТ	Occupational Therapy	Kansas Board of Healing Arts	Occupational Therapist (OT)	NO
PS	Psychologist	Kansas Behavioral Sciences Regulatory Board ONLY if hired before 2007 otherwise must be KSDE certified School Psychologist	Clinical Psychologist only	NO
PT	Physical Therapy	Kansas Board of Healing Arts	Physical Therapist (PT)	NO
RD	Registered Dietician	KDHE-Health Occupations Credentialing	Dietician	NO
RE	Recreation Therapy	National Council for Therapeutic Recreation	Certification (NCTRC)	YES
SL	Speech/Language	KDHE-Health Occupations Credentialing	Speech Language Pathologist	NO
SW	Social Work	Kansas Behavioral Sciences Regulatory Board	LMSW, LSCSW, TLMSW, LMSWT (LPC – if hand approved)	NO
OA	Other Assignment	For over 1 FTE, licensing for appropriate area		·

Paraeducator Licenses are not requested by KSDE at this time.

These licenses must be maintained for auditing purposes at the local level

APPENDIX C

Educational Interpreter for Deaf & Hard of Hearing Individual Professional Development Plan

Interpreter Name:					Bldg/Schl:				
Social Security # (last 4 dig	its only): XXX	X-XX		Interpreter Assign. By Grade:				
Supervi	sor's Sig	gnature / [Date		Interpreter's Sig	nature	/ Date		
EIPA Standards or ESSE Area	Code	Rating	Activitie	es	Level 1: Knowledge		Projected Date(s) & Hours	Actual Date & Hours	
Verification for points	at Leve	el 1:				<u>i</u>		<u> </u>	
EIPA Standards or ESSE Area	Code	Rating	Activitie	es	Level 2: Application		Projected Date(s) & Hours	Actual Date & Hours	
	<u> </u>								
								1	
	<u>!</u>	-							
Verification for points	at Leve	el 2:							
EIPA Standards or ESSE Area	Code	Rating	Activi	itie	es Level 3: Impact		Projected Date(s) & Hours	Actual Date & Hours	
								1	
	*	-						•	
Verification for points	at Leve	el 3:							
KSDE acceptance	e of F	Plan Signa	ature of Director or	Co	onsultant responsible for in	terpre	eter trainin		
Date:		.	Authorized f	ro	m beginning of school year	•	·	-	
Comments:			→ Authorized f	ro	m beginning of semester				

APPENDIX D

Early Childhood Collaborative Programs Funding Questions and Answers Early Childhood Special Education, Four Year Old At Risk, Head Start, and Early Childhood Kansas State Department of Education

- Q1. If an IEP team recommends placement in an EC classroom (i.e. community based or school based) can LEAs pay full tuition if the child's time in the EC classroom is considered special education instructional time?
 - A1. If the IEP team recommends it and documents this in the IEP, then LEAs are responsible for paying the tuition to the community based school.
 - Q1a. What funds can LEAs use to pay tuition?
 - A1a. Special education funds may be used to pay tuition.
- Q2. If a child counted for Four Year Old At Risk funding is then identified as a child with a disability during the same year, can the special education teacher be counted for categorical aid and the child counted for Four Year Old At Risk funding at the same time?
 - A.2. Yes, if the special education identification occurs after the 9/20 enrollment count.
- Q3. Can districts provide educational services for Head Start eligible children in an EC classroom funded by the district and receive funds from Head Start to provide that service for Head Start eligible children?
 - A3. Yes, if that is the agreement with Head Start. However, in regards to funding, if a child is claimed for Head Start, they cannot be claimed for the Four Year Old At Risk program.
- Q4. Can Head Start provide family support services to all children in a district funded EC classroom that includes Head Start eligible children and be reimbursed by the district for services provided for children that are in the EC classroom but not eligible for Head Start?
 - A4. If family support services are a high priority for the district, then districts may reimburse Head Start for this service. For the district to receive categorical aid for services provided to special education students, the provider must meet eligibility requirements for special education reimbursement.
- Q5. If the district Superintendent wants to serve all pre-k children in their home schools, how can Special Education, Four Year Old At Risk and Head Start funding be braided to meet this goal?
 - A5. The cost of the early childhood program would be paid with funds from Special Education, Four Year Old At Risk and Head Start in direct proportion to the percent of children from each source that are participating in the EC program.
- Q6. If a teacher has the appropriate licensure, can one teacher be the special education teacher and the Four Year Old At Risk teacher in the same classroom?
 - A6. Yes. Categorical aid is pro-rated, however the calculation accounts for a 50/50 ratio of children with disabilities to peer models. Therefore if a 50/50 ratio is maintained, no loss in special education funding will occur.
 - Q6a. How can the funds be braided in this situation?
 - A6a. The cost of the program would be paid with funds from Special Education and Four Year Old At Risk in direct proportion to the percent of children from each source that are in the classroom.
 - Q6b. In cases where the At Risk teacher is also endorsed in special education, can a district apply for categorical aid independent of their cooperative or interlocal if they choose to serve special education students in their local At Risk program?
 - A6b. Yes. Categorical aid is pro-rated, however the calculation accounts for a 50/50 ratio of children with disabilities to peer models. Therefore if a 50/50 ratio is maintained, no loss in special education funding will occur.
- Q7. When Early Childhood Special Education (ECSE) teachers and Early Childhood (EC) teachers coteach in a Four Year Old At Risk or Head Start classroom and there is a ratio of less than 50% of Rev 10/20/11

 Kansas Special Education Reimbursement Guide

children with disabilities in relation to typically developing peers, will categorical aid be pro-rated for the ECSE teacher?

- A7. Categorical aid for EC programs that utilize a collaborative co-teaching model will not have categorical aid prorated for the ECSE teacher.
- Q7a. Can this co-teaching model be pre-approved for full categorical aid for the ECSE teacher and any special education paraeducators placed in the classroom?
 - A7a. No pre approvals are necessary.
- Q8. When an ECSE teacher is an itinerant teacher in a Four Year Old At Risk or Head Start classroom and there is a ratio of less than 50% children with disabilities in relation to typically developing peers, will the ECSE teacher's categorical aid be pro-rated for his/her time spent delivering special education services in this setting?
 - A8. No. If the ECSE teacher is providing special education services in this setting, categorical aid will not be reduced.
- Q9. Is there anything in the Four Year Old At Risk program guidelines that would prohibit the designation of the ECSE teacher as itinerant placing the sole responsibility for the general education teaching on the Four Year Old At Risk Teacher?

A9. No.

- Q10. If children with disabilities are placed in a Four Year Old At Risk program and are served by an itinerant ECSE teacher for a set amount of time each week, can the time the child spends in the Four Year Old At Risk program without special education services be reimbursed with Four Year Old At Risk funds?
 - A10. No. The ECSE teacher generates categorical aid based on her/his FTE not on the amount of service provided per child and Four Year Old At Risk funds are generated by actual child count on September 20. In addition, each child in the program (special education and At Risk) generates .5 FTE of general state aid.
- Q11. Can children in Four Year Old At Risk programs serve as peer models in integrated special education classrooms?
 - A11. Yes. Any child without an IEP may serve as a peer model in an integrated special education classroom.
- Q12. Can the Four Year Old At Risk program exceed the recommended number of 17 students in a classroom by adding children with disabilities that are served by an itinerant special education teacher?
 - A12. Yes. However, the size of the classroom and the number of slots for the Four Year Old At Risk program should be carefully reviewed, as well as the intensity of needs both for the four-year-olds and those on IEPs. Some balance must be preserved, so that all children's needs may be addressed. The teacher must be prepared to meet significant challenges. There may not be more than 20 total children in the classroom (with 2 teachers).

Clarification for Four Year Old At Risk and ECSE Funding

Funding for the Four Year Old At-Risk program is based on the number of identified at risk children in attendance on September 20 and reported in the 9/20 enrollment count. Once districts have been notified that their program has been selected for funding, the approved number of four-year olds attending the district at-risk preschool program must be included in the enrollment counts when calculating the school district's general fund.

Funding is weighted based on district demographics for at risk children. In addition to the weighted funding, each child that meets the criteria for the Four Year Old At Risk program on 9/20 generates .5 FTE of Base State Aid per Pupil (BSAPP).

While children identified for Head Start and/or Early Childhood Special Education do not generate funds for the Four Year old At Risk program; these children can participate and be included in at risk classrooms. Children with IEPs ages 3&4 generate .5 FTE of Base State Aid per Pupil (BSAPP). Children with IEPs age 5 generate 1.0 FTE of Base State Aide per Pupil (BSAPP).

APPENDIX E

Paraeducator Tiered Professional Development Planning Worksheet

	Profe		icensure – Professional		•		tion of the LEA		
			cator currently holds one cacher (General or Special I			enses:			
Teacher (General or Special Education)Related Service Provider eligible for Professional level categorical aid									
			A, PTA				g		
			(copy of current license			. ,	4		
			LB requirement met during	-		•	py of certificate on file)		
	Profes	ssional D	evelopment Requiremen	nt – 10	to 20 hou	'S			
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		• • •			No or	Inservice	_		
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			Two school years ago						
			Three school years ago				_		
	For N				·		hours for this school year ed from the date of hire as		
			Reimbursement Guide	or yea	<i>i</i> –nours we	did be-rate	ed from the date of fille as		
	ldenti [.]	fied Profe	essional Development No	eeds					
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	Traini	ng Oppoi	rtunities						
		9 -							
-									
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Inservice hours are to be recorded in the Personnel Web System as currently required by KSDE

APPENDIX F

Personnel Web Calendar

Close date for Personnel Web entry The deadline for updating Personnel Web System is end of business day

Info goes to Fiscal in the morning

Payment date

Reminder: There will be no supplemental payment issued. All eligible teachers and paraeducators must be included by the final update. Failure to meet these deadlines will result in loss of funds for your LEA and general fund budget authority.

Oct-11										
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16	17	18	19	20	21	22				
23	24	25	26	27	28	29				
30	31									

Nov-11										
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27	28	29	30							

Dec-11						
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27	28	29	30	31			

Jun-12						
Su	Мо	Tu	We	Th	Fr	Sa
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24	25	26	27	28	29	30

The close date is the first Friday or Monday of the payment month. The light grey shaded dates are when the information is transferred to fiscal for processing. There is no time on that day for corrections or additions. Please use the time before the close (due) dates well. There will be test payments again this year, to give multiple chances to correct data before payments.

October 3rd Personnel Web Due date October 17th Payment (25% of total reported FTE) December 2nd Personnel Web Due date December 15th Payment (25% of total reported FTE) March 2nd Personnel Web Due date

1	April 2 nd	Personnel Web Due date			
4	April 16 th	Payment (17% of total eligible FTE)			
	дрії то	Vacancy Report Deadline			
5	May 4 th	Personnel Web Due date			
5	Remember to include Homebound Personnel				

March 15th Payment (17% of total eligible FTE)

June 1st Payment(15% or balance of eligible FTE) Deadline for entering para inservice

The October 15, and December 15 payments are based on reported FTE.

The remaining payments are based on Eligible FTE (checked against licenses and certifications) Summer (ESY) is included in the October payment

APPENDIX G

KDHE Regulations Regarding Speech/Language and Audiology Assistants

Paraeducators working as speech or audiology assistants must be supervised by a licensed speech-language pathologist or audiologist. Authorized by K.S.A. 65-6503; implementing K.S.A. 65-6501; effective Dec. 28, 1992; amended March 16, 2001; amended April 16, 2010.

28-61-8. ASSISTANTS.

- (a) Each speech-language pathology assistant and each audiology assistant shall meet the following criteria:
 - (1) Have received a high school diploma or equivalent;
 - (2) complete a training program conducted by a Kansas-licensed speech-language pathologist or audiologist.
 - This training shall include the following:
 - (A) Ethical and legal responsibilities;
 - (B) an overview of the speech, language, and hearing disorders;
 - (C) response discrimination skills;
 - (D) behavior management:
 - (E) charting of behavioral objectives and recordkeeping;
 - (F) teaching principles, if applicable to the employment setting; and
 - (G) other skill training as required by the employment setting; and
 - (3) receive ongoing supervised training by a Kansas-licensed speech-language pathologist or audiologist for at least one hour per month.
- (b) Any speech-language pathology assistant or audiology assistant may perform the following:
 - (1) Follow documented treatment plans and protocols that are planned, designed, and supervised by a Kansas licensed speech-language pathologist or audiologist:
 - (2) record, chart, graph, report, or otherwise display data relative to client performance, including hearing screenings, and report this information to a supervising speech-language pathologist or audiologist;
 - (3) participate with a Kansas-licensed speech-language pathologist or audiologist in research projects, public relations programs, or similar activities;
 - (4) perform clerical duties, including preparing materials and scheduling activities as directed by a Kansas licensed speech-language pathologist or audiologist;
 - (5) prepare instructional materials; and
 - (6) perform equipment checks and maintain equipment, including hearing aids.
- (c) A speech-language pathology assistant or audiologist assistant shall not perform any of the following:
 - (1) Perform standardized or nonstandardized diagnostic tests, conduct formal or informal evaluations, or provide clinical interpretations of test results;
 - (2) participate in parent conferences, case conferences, or any interdisciplinary team without the presence of a supervising Kansas-licensed speech-language pathologist or audiologist;
 - (3) perform any procedure for which the assistant is not qualified, has not been adequately trained, or is not receiving adequate supervision;
 - (4) screen or diagnose clients for feeding or swallowing disorders;
 - (5) write, develop, or modify a client's individualized treatment plan in any way;
 - (6) assist clients without following the individualized treatment plan prepared by a Kansas-licensed speech language pathologist or audiologist or without access to supervision;

- (7) sign any formal documents, including treatment plans, reimbursement forms, or reports. An assistant shall sign or initial informal treatment notes for review and signing by a Kansas-licensed speech-language pathologist or audiologist.
- (8) select clients for services;
- (9) discharge a client from services;
- (10) make referrals for additional services;
- (11) use a checklist or tabulate results of feeding or swallowing evaluations;
- (12) demonstrate swallowing strategies or precautions to clients, family, or staff; or
- (13) represent that person as a speech-language pathologist or audiologist.
- (d) Each assistant shall be supervised by a Kansas-licensed speech-language pathologist or audiologist. The supervisor shall be licensed to practice in the field in which the assistant is providing services.
 - (1) Each supervisor shall be responsible for determining that the assistant is satisfactorily qualified and prepared for the duties assigned to the assistant.
 - (2) Each supervisor shall obtain, retain, and maintain on file documentation of the assistant's qualifications and training outlined in subsection (a).
 - (3) Only the supervisor shall exercise independent judgment in performing professional procedures for the client. The supervisor shall not delegate the exercise of independent judgment to the assistant.
 - (4) A speech-language pathologist or audiologist who holds a temporary license shall not be eligible to supervise assistants.
- (e) Each supervisor shall directly supervise at least 10 percent of the assistant's client contact time. No portion of the assistant's direct client contact shall be counted toward the ongoing training required in subsection (a). No portion of the assistant's time performing activities under indirect supervision shall be counted toward client contact time.
- (f) "Direct supervision" shall mean the on-site, in-view observation and guidance provided by a speech language pathologist or audiologist to an assistant while the assistant performs an assigned activity.
- (g) "Indirect supervision" shall mean the type of guidance, other than direct supervision, that a speech-language pathologist or audiologist provides to an assistant regarding the assistant's assigned activities. This term shall include demonstration, record review, and review and evaluation of audiotaped sessions, videotaped sessions, or sessions involving interactive television.
- (h) Each supervisor shall, within 30 days of employing an assistant, submit written notice to the department of the assistant's name, employment location, and verification that the assistant meets the qualifications listed in subsection (a). Each supervisor shall notify the department of any change in the status of an assistant.
- (i) Each supervisor shall perform all of the following tasks:
 - Develop a system to evaluate the performance level of each assistant under the licensee's supervision;
 - (2) retain and maintain on file documentation of the performance level of each assistant supervised; and
 - (3) report to the department at the time of the supervisor's license renewal, on a departmentapproved form, the name and employment location of each assistant.

APPENDIX H

KSDE Selected Regulations for School Nursing Procedures

60-15-101. Definitions and functions.

- (a) Each registered professional nurse in a school setting shall be responsible for the nature and quality of all nursing care that a student is given under the direction of the nurse in the school setting. Assessment of the nursing needs, the plan of nursing action, implementation of the plan, and evaluation of the plan shall be considered essential components of professional nursing practice and shall be the responsibility of the registered professional nurse.
- (b) In fulfilling nursing care responsibilities, any nurse may perform the following:
 - (1) Serve as a health advocate for students receiving nursing care;
 - (2) counsel and teach students, staff, families, and groups about health and illness;
 - (3) promote health maintenance;
 - (4) serve as health consultant and a resource to teachers, administrators, and other school staff who are providing students with health services during school attendance hours or extended program hours; and (5) utilize nursing theories, communication skills, and the teaching-learning process to function as part of the interdisciplinary evaluation team.
- (c) The services of a registered professional nurse may be supplemented by the assignment of tasks to a licensed practical nurse or by the delegation of selected nursing tasks or procedures to unlicensed personnel under supervision by the registered professional nurse or licensed practical nurse.
- (d) "Unlicensed person" means anyone not licensed as a registered professional nurse or licensed practical nurse.
- (e) "Delegation" means authorization for an unlicensed person to perform selected nursing tasks or procedures in the school setting under the direction of a registered professional nurse.
- (f) "Activities of daily living" means basic caretaking or specialized caretaking.
- (g) "Basic caretaking" means the following tasks:
 - (1) Bathing;
 - (2) dressing;
 - (3) grooming;
 - (4) routine dental, hair, and skin care:
 - (5) preparation of food for oral feeding:
- (6) exercise, excluding occupational therapy and physical therapy procedures;
- (7) toileting, including diapering and toilet training;
- (8) handwashing;
- (9) transferring; and
- (10) ambulation.
- (h) "Specialized caretaking" means the following procedures:
 - (1) Catherization;
 - (2) ostomy care;
 - (3) preparation and administration of gastrostomy tube feedings:
 - (4) care of skin with damaged integrity or potential for this damage:
- (5) medication administration;
- (6) taking vital signs;
- (7) blood glucose monitoring, which shall include taking glucometer readings and carbohydrate counting; and
- (8) performance of other nursing procedures as selected by the registered professional nurse.
- (i) "Anticipated health crisis" means that a student has a previously diagnosed condition that, under predictable circumstances, could lead to an imminent risk to the student's health.
- (j) "Investigational drug" means a drug under study by the United States food and drug administration to determine safety and efficacy in humans for a particular indication.
- (k) "Nursing judgment" means the exercise of knowledge and discretion derived from the biological, physical, and behavioral sciences that requires special education or curriculum.
- (I) "Extended program hours" means any program that occurs before or after school attendance hours and is hosted or controlled by the school.
- (m) "School attendance hours" means those hours of attendance as defined by the local educational agency or governing board.
- (n) "School setting" means any public or nonpublic school environment.

- (o) "Supervision" means the provision of guidance by a nurse as necessary to accomplish a nursing task or procedure, including initial direction of the task or procedure and periodic inspection of the actual act of accomplishing the task or procedure.
- (p) "Medication" means any drug required by the federal or state food, drug, and cosmetic acts to bear on its label the legend "Caution: Federal law prohibits dispensing without prescription," and any drugs labeled as investigational drugs or prescribed for investigational purposes.
- (q) "Task" means an assigned step of a nursing procedure.
- (r) "Procedure" means a series of steps followed in a regular, specific order that is part of a defined nursing practice.

History: (Authorized by K.S.A. 2007 Supp. 65-1124 and K.S.A. 65-1129; implementing K.S.A. 2007 Supp. 65-1124 and K.S.A. 65-1165; effective, T-89-23, May 27, 1988; amended, T-60-9-12-88, Sept. 12, 1988; amended Feb. 13, 1989; amended Sept. 2, 1991; amended Sept. 11, 1998; amended July 29, 2005; amended March 6, 2009.)

- **60-15-102. Delegation procedures.** Each registered professional nurse shall maintain the primary responsibility for delegating tasks to unlicensed persons. The registered professional nurse, after evaluating a licensed practical nurse's competence and skill, may decide whether the licensed practical nurse under the direction of the registered professional nurse may delegate tasks to unlicensed persons in the school setting. Each nurse who delegates nursing tasks or procedures to a designated unlicensed person in the school setting shall meet the requirements specified in this regulation.
- (a) Each registered professional nurse shall perform the following:
 - (1) Assess each student's nursing care needs;
 - (2) formulate a plan of care before delegating any nursing task or procedure to an unlicensed person; and
 - (3) formulate a plan of nursing care for each student who has one or more long-term or chronic health conditions requiring nursing interventions.
- (b) The selected nursing task or procedure to be delegated shall be one that a reasonable and prudent nurse would determine to be within the scope of sound nursing judgment and that can be performed properly and safely by an unlicensed person.
- (c) Any designated unlicensed person may perform basic caretaking tasks or procedures as defined in K.A.R. 60-15-101 (g) without delegation. After assessment, a nurse may delegate specialized caretaking tasks or procedures as defined in K.A.R. 60-15-101 (h) to a designated unlicensed person.
- (d) The selected nursing task or procedure shall be one that does not require the designated unlicensed person to exercise nursing judgment or intervention.
- (e) If an anticipated health crisis that is identified in a nursing care plan occurs, the unlicensed person may provide immediate care for which instruction has been provided.
- (f) The designated unlicensed person to whom the nursing task or procedure is delegated shall be adequately identified by name in writing for each delegated task or procedure.
- (g) Each registered professional nurse shall orient and instruct unlicensed persons in the performance of the nursing task or procedure. The registered professional nurse shall document in writing the unlicensed person's demonstration of the competency necessary to perform the delegated task or procedure. The designated unlicensed person shall co-sign the documentation indicating the person's concurrence with this competency evaluation.
- (h) Each registered professional nurse shall meet these requirements:
 - (1) Be accountable and responsible for the delegated nursing task or procedure;
 - (2) at least twice during the academic year, participate in joint evaluations of the services rendered;
 - (3) record the services performed; and
 - (4) adequately supervise the performance of the delegated nursing task or procedure in accordance with the requirements of K.A.R. 60-15-103.

History: (Authorized by K.S.A. 2007 Supp. 65-1124 and K.S.A. 65-1129; implementing K.S.A. 2007 Supp. 65-1124 and K.S.A. 65-1165; effective, T-89-23, May 27, 1988; amended, T-60-9-12-88, Sept. 12, 1988; amended Feb. 13, 1989; amended Sept. 2, 1991; amended Sept. 11, 1998; amended March 6, 2009.)

- **60-15-103. Supervision of delegated tasks or procedures.** Each registered professional or licensed practical nurse shall supervise all nursing tasks or procedures delegated to a designated unlicensed person in the school setting in accordance with the following conditions.
- (a) The registered professional nurse shall determine the degree of supervision required after an assessment of appropriate factors, including the following:
 - (1) The health status and mental and physical stability of the student receiving the nursing care;
 - (2) the complexity of the task or procedure to be delegated;
 - (3) the training and competency of the unlicensed person to whom the task or procedure is to be delegated; and
 - (4) the proximity and availability of the registered professional nurse to the designated unlicensed person when the selected nursing task or procedure will be performed.
- (b) The supervising registered professional nurse may designate whether or not the nursing task or procedure is one that may be delegated or supervised by a licensed practical nurse.
- (c) Each delegating registered professional nurse shall have a plan to provide nursing care when the delegating nurse is absent.

History: (Authorized by and implementing K.S.A. 1997 Supp. 65-1124; effective, T-89-23, May 27, 1988; amended, T-60-9-12-88, Sept. 12, 1988; amended Feb. 13, 1989; amended Sept. 2, 1991; amended Sept. 11, 1998.)

- **60-15-104. Medication administration in a school setting.** Any registered professional nurse may delegate the procedure of medication administration in a school setting only in accordance with this article.
- (a) Any registered professional nurse may delegate the procedure of medication administration in a school setting to unlicensed persons if both of the following conditions are met:
- (1) The administration of the medication does not require dosage calculation. Measuring a prescribed amount of liquid medication, breaking a scored tablet for administration, or counting carbohydrates for the purpose of determining dosage for insulin administration shall not be considered calculation of the medication dosage.
- (2) The nursing care plan requires administration by accepted methods of administration other than those listed in subsection (b).
- (b) A registered professional nurse shall not delegate the procedure of medication administration in a school setting to unlicensed persons when administered by any of these means:
- (1) By intravenous route:
- (2) by intramuscular route, except when administered in an anticipated health crisis;
- (3) through intermittent positive-pressure breathing machines; or
- (4) through an established feeding tube that is not inserted directly into the abdomen.

History: (Authorized by K.S.A. 2007 Supp. 65-1124 and K.S.A. 65-1129; implementing K.S.A. 2007 Supp. 65-1124

and K.S.A. 65-1165; effective, T-89-23, May 27, 1988; amended, T-60-9-12-88, Sept. 12, 1988; amended Feb. 13, 1989; amended Sept. 2, 1991; amended Sept. 11, 1998; amended July 29, 2005; amended March 6, 2009.)